

R&D for Silicon Forward Tracking at STAR

Zhenyu Ye @ UIC

STAR R&D meeting, BNL, 2/10/2014

Outline

- Motivation
- Forward Tracking Concept
- R&D Plan

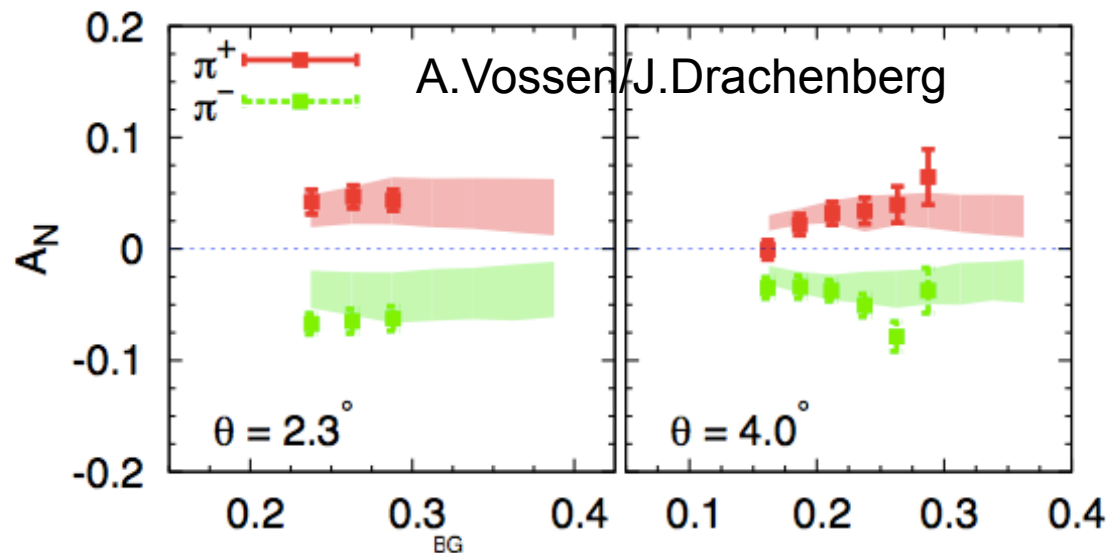
Key measurements for polarized pp scattering in 2021-2022

deliverables	observables	what we learn	requirements	comments/competition
<p>HP13 (2015)</p> <p>Test unique QCD predictions for relations between single-transverse spin phenomena in p-p scattering and those observed in deep-inelastic lepton scattering.</p>	<p>A_N for γ (?), W^{+-}, Z^0, DY</p>	<p>Do TMD factorization proofs hold. Are the assumptions of ISI and FSI color interactions in pQCD are attractive and repulsive, respectively correct</p>	<p>high luminosity trans pol pp at $\sqrt{s}=500$ GeV DY: needs instrumentation to suppress QCD backgr. by 10^6 at $3 < y < 4$</p>	<p>$A_N DY$: ≥ 2020 might be too late in view of COMPASS $A_N W, Z$: can be done earlier, i.e. 2016</p>
<p>HP13 (2015)</p> <p>and flavor separation</p>	<p>A_N for γ, charged identified(?) hadrons, jets and diffractive events in pp and pHe-3</p>	<p>underlying subprocess causing the big A_N at high x_f and y</p>	<p>high luminosity trans pol pp at $\sqrt{s}=200$ GeV, (500 GeV jets ?) He-3: 2 more snakes; He-3 polarimetry; full Phase-II RP</p>	<p>the origin of the big A_N at high x_f and y is a legacy of pp and can only be solved in pp what are the minimal observables needed to separate different underlying subprocesses</p>
<p>transversity and collins FF</p>	<p>IFF and A_{UT} for collins observables, i.e. hadron in jet modulations A_{TT} for DY</p>	<p>TMD evolution and transversity at high x cleanest probe, sea quarks</p>	<p>high luminosity trans pol pp at $\sqrt{s}=200$ GeV & 500 GeV</p>	<p>how does our kinematic reach at high x compare with Jlab12 A_{TT} unique to RHIC</p>
<p>flavour separated helicity PDFs</p> <p>polarization dependent FF</p>	<p>A_{LL} for jets, di-jets, h/γ-jets at rapidities > 1 D_{LL} for hyperons</p>	<p>$\Delta g(x)$ at small x $\Delta s(x)$ and does polarization effect fragmentation</p>	<p>high luminosity long. pol pp at $\sqrt{s}=500$ GeV Forward instrumentation which allows to measure jets and hyperons. Instrumentation to measure the relative luminosity to very high precision</p>	<p>eRHIC will do this cleaner and with a wider kinematic coverage</p>
<p>Searches for a gluonic bound state in central exclusive diffraction in pp</p>	<p>PWA of the invariant mass spectrum in $pp \rightarrow p' M_X p'$ in central exclusive production</p>	<p>can exotics, i.e. glue balls, be seen in pp</p>	<p>high luminosity pp at $\sqrt{s}=200$ GeV & 500 GeV full Phase-II RP</p>	<p>how does this program compare to Belle-II & PANDA</p>

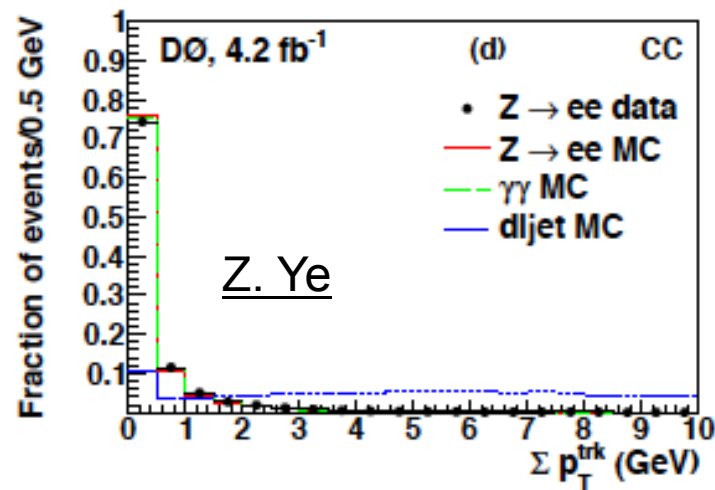
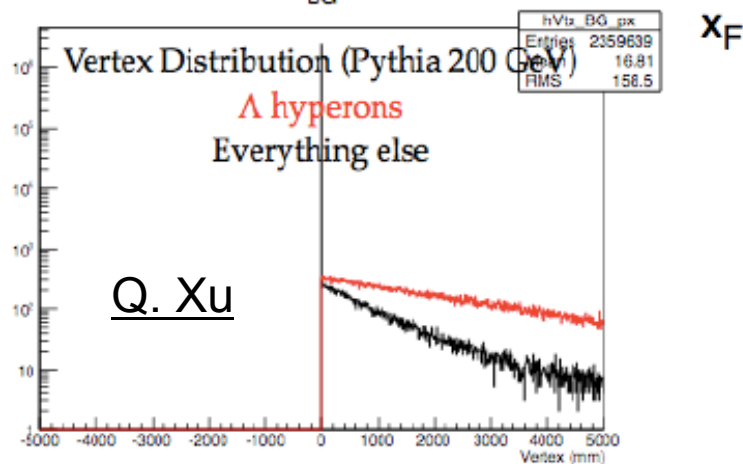
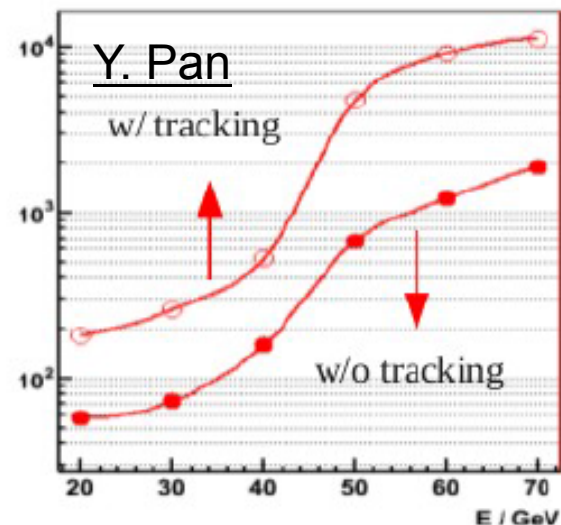
Key measurements for $p \uparrow A$ scattering in 2021-2022

deliverables	observables	what we learn	requirements	comments/competition
DM8 (2012) determine low-x gluon densities via $p(d) A$	direct photon potentially correlations, i.e. photon-jet	initial state $g(x)$ for AA-collisions	A-scan	LHC and inclusive DIS in eA eA: clean parton kinematics LHC wider/different kinematic reach; NA61
impact parameter dependent $g(x,b)$	c.s. as fct. of t for VM production in UPC (pA or AA)	initial state $g(x,b)$ for AA-collisions	high luminosity, clean UPC trigger	LHC and exclusive VM production in eA eA: clean parton kinematics LHC wider/different kinematic reach
"saturation physics"	di-hadron correlations, γ -jet, h-jet & NLO DY , diffraction pT broadening for J/ψ & $DY \rightarrow Q_s$	is the initial state for AA collisions saturated measurement of the different gluon distributions CNM vs. WW	capability to measure many observables precisely large rapidity coverage to very forward rapidities polarized pA A scan	complementary to eA, tests universality between pA and eA
CNM effects	R_{pA} for many different final states K^0 , p, K, D^0 , J/ψ , .. as fct of rapidity and collision geometry	is fragmentation modified in CNM heavy quarks vs. light quarks in CNM	A scan to tag charm in forward direction $\rightarrow \mu$ -vertex	separation of initial and final state effects only possible in eA
long range rapidity correlations "ridge"	two-particle correlation at large pseudo-rapidity $\Delta\eta$	do these correlations also exist in pA as in AA	tracking and calorimetry to very high rapidities	interesting to see the \sqrt{s} dependence of this effect compared to LHC
is GPD E_g different from zero	A_{UT} for J/ψ through UPC $A_p \uparrow$	GPD E_g is responsible for $L_g \rightarrow$ first glimpse		unique to RHIC till EIC turns on
underlying subprocess for $A_N(\pi^0)$	A_N for π^0 and γ	underlying subprocess for $A_N(\pi^0)$ sensitivity to Q_s	good π^0 and γ reconstruction at forward rapidities	resolving a legacy in transversely polarized pp collisions

What a Tracker Do – Examples from Yesterday's pp/pA meeting



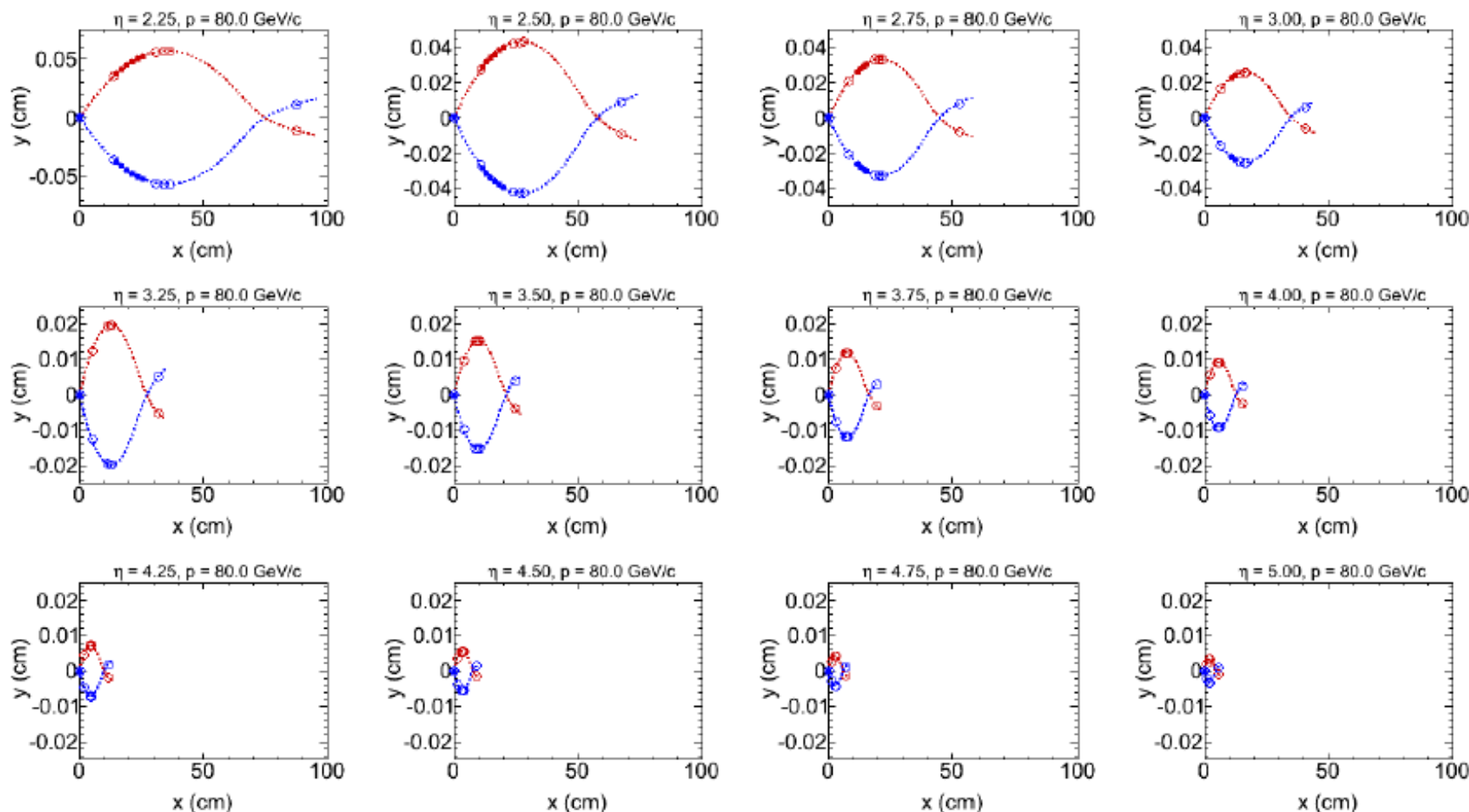
eh discriminating power vs E, 80% electron eff.



Forward Tracking Requirements

- pp/pA/ep/eA physics-driven requirements:
 - charge separation for π^+/π^- , di-hadron, Drell-Yan, J/psi
→ low mass, good ϕ resolution
 - e/h discrimination for Drell-Yan, J/psi
→ good ϕ resolution
 - e/ γ discrimination for photon, Drell-Yan, J/psi
→ low mass, high efficiency
 - vertex and charge sign for hyperon
→ good η and ϕ resolution
- AA physics-driven requirements:
 - event plane determination
→ good ϕ resolution, large η coverage
 - centrality determination
→ large η coverage
 - ...

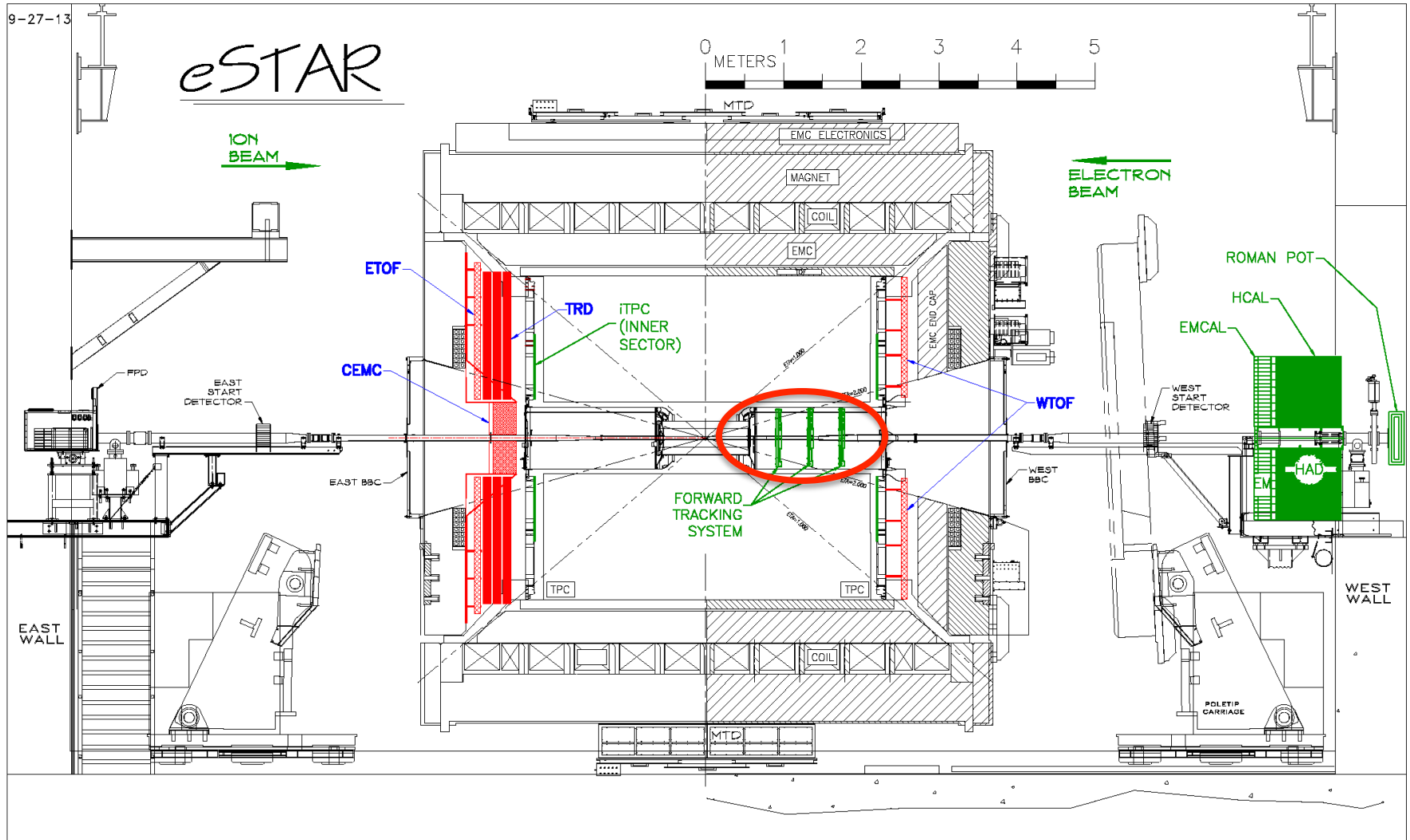
Why Silicon Ministrip Detector?



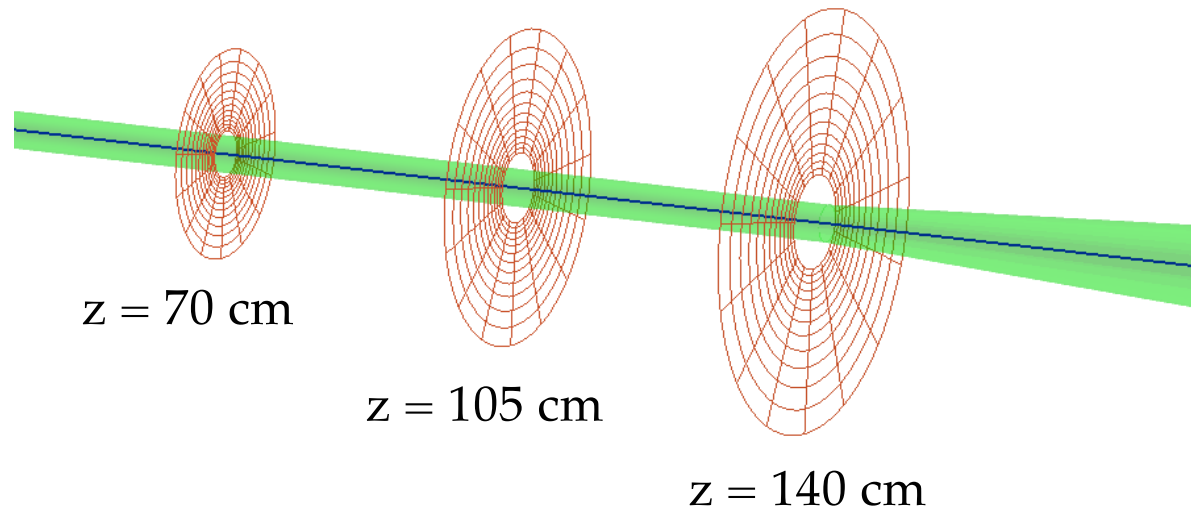
- Open symbols: hits at
: $z = 65 \text{ cm}, 145 \text{ cm}, 160 \text{ cm}, 170 \text{ cm}, \text{ and } 410 \text{ cm}$

filled symbols: FGT hits

Forward Tracking System

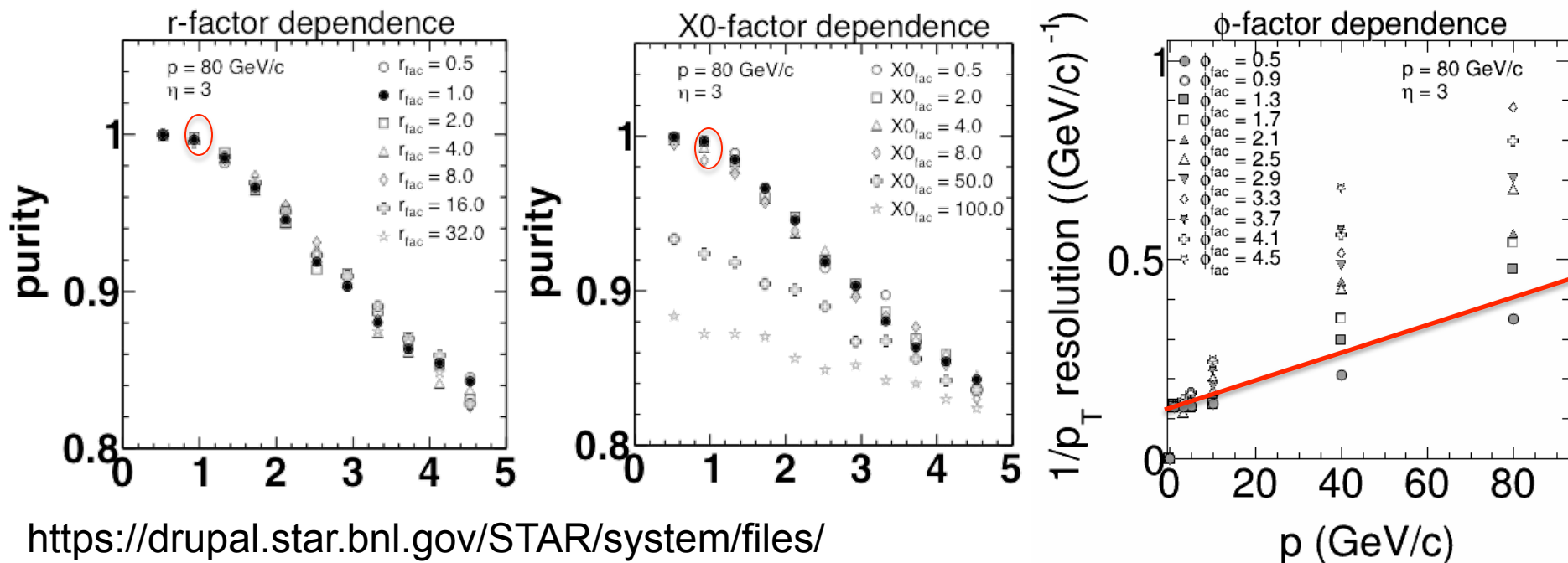
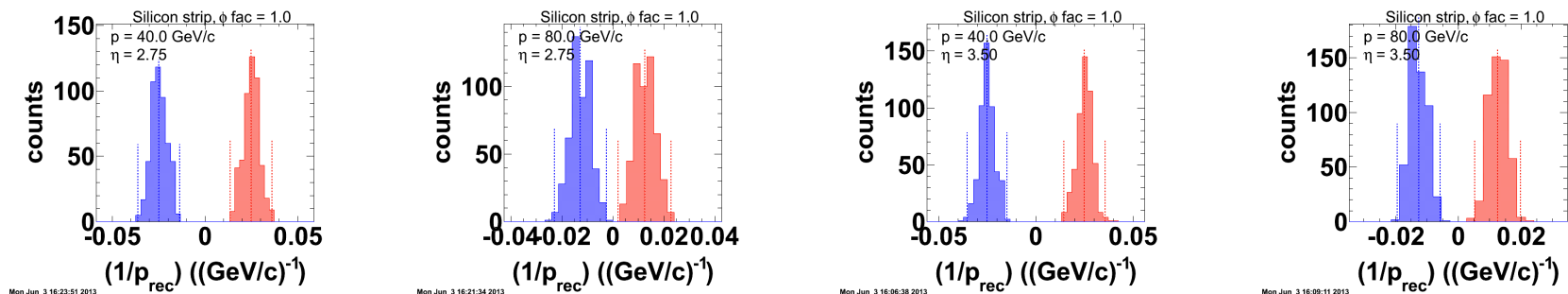


Three-Plane FTS



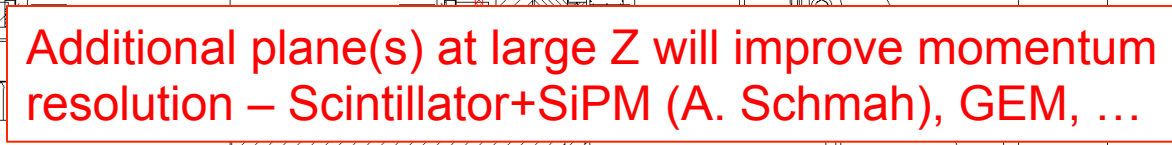
in [mm]	r_1	r_2	r_3	r_4	r_5	r_6	r_7	r_8	r_9	r_{10}	r_{11}	r_{12}	r_{13}
plane 1	25.7	29.1	32.9	37.3	42.3	48.0	54.4	61.6	69.9	79.2	89.9	102.0	115.7
ϕ pitch	0.11	0.12	0.15	0.17	0.19	0.21	0.24	0.28	0.31	0.34	0.38	0.43	
plane 2	38.5	43.6	49.4	56.0	63.5	71.9	81.5	92.4	104.8	118.9	134.8	152.9	173.5
ϕ pitch	0.17	0.18	0.22	0.26	0.28	0.32	0.36	0.42	0.46	0.51	0.56	0.64	
plane 3	51.3	58.1	65.9	74.7	84.6	95.9	108.7	123.3	139.8	158.5	179.7	203.9	231.4
ϕ pitch	0.22	0.25	0.29	0.34	0.38	0.43	0.48	0.56	0.61	0.68	0.75	0.85	

Performance Study (Alexander Schmah@LBNL)



https://drupal.star.bnl.gov/STAR/system/files/aschmah_eSTAR_Forward_Tracking_ULCA_8_2013_V4.pdf

eSTAR



R&D Proposal

STAR R&D Proposal December 2013

Prototyping for STAR Forward Tracking System

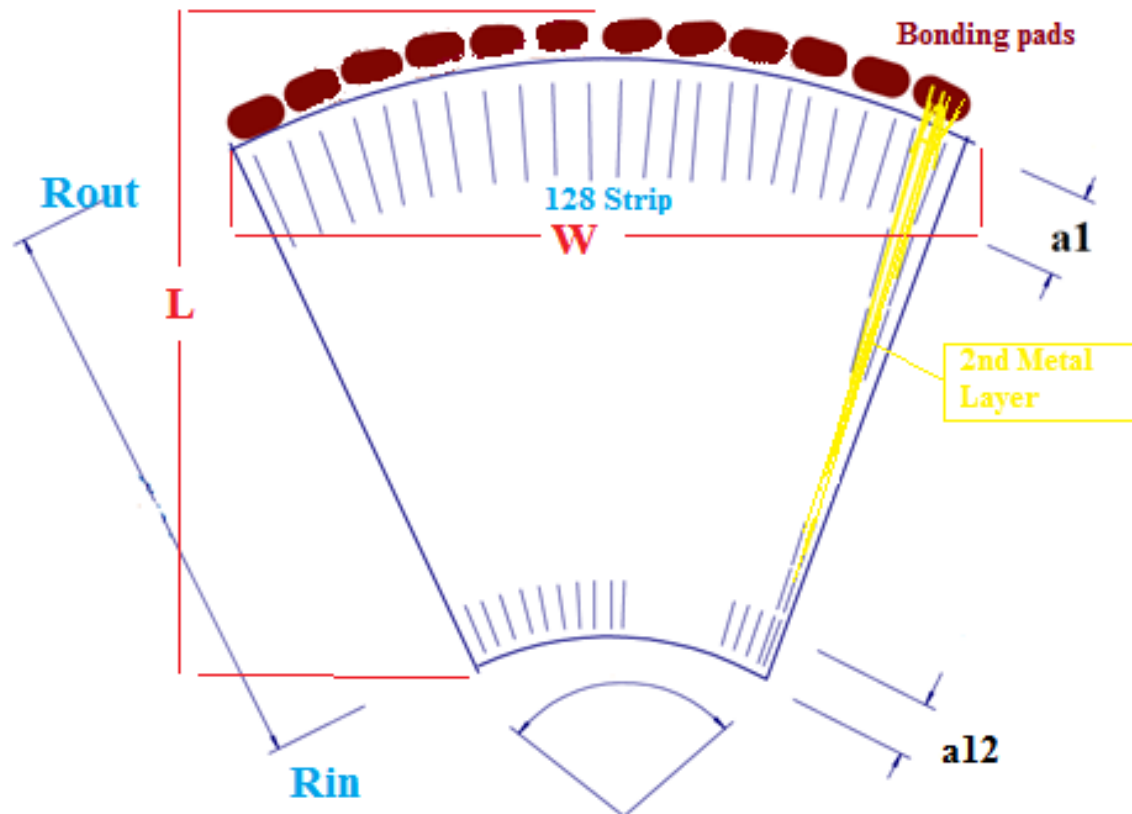
Zhenyu Ye (PI), Babak Abi, Yaping Wang
University of Illinois at Chicago

Alexander Schmah
Lawrence Berkeley National Laboratory

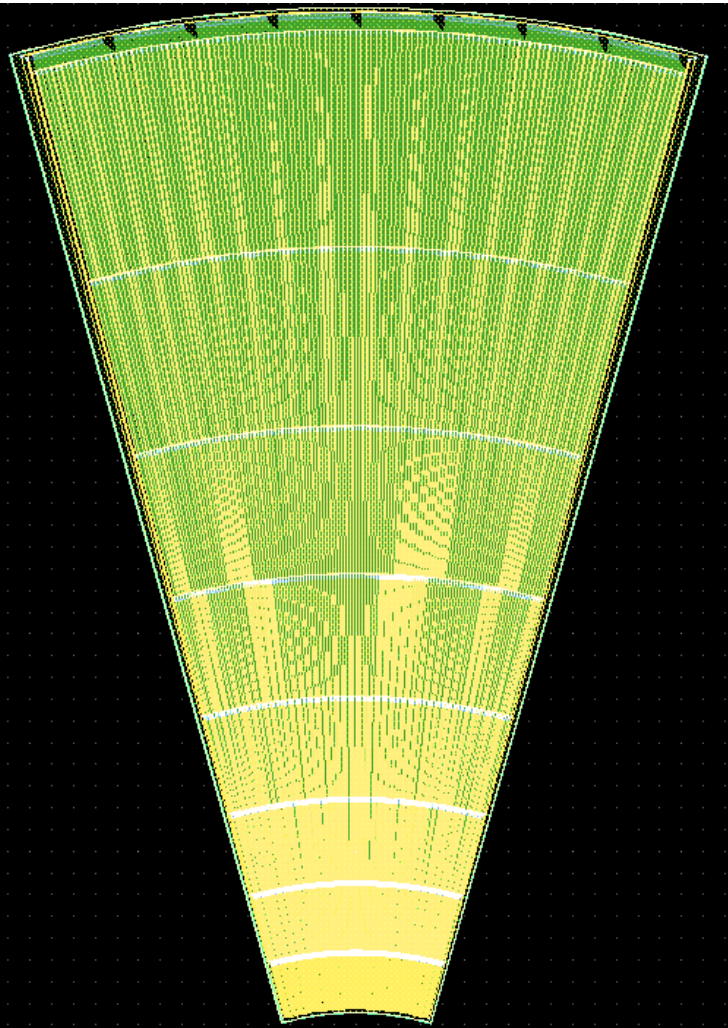
Abstract

We propose an R&D program for a forward tracking system (FTS) upgrade based on Silicon detector technology for the STAR experiment at RHIC. The proposed R&D efforts will be focused on development of Silicon Ministrip sensors and identification of suitable front-end readout chips for the FTS upgrade project. The goal is to assemble and test prototype modules to validate and optimize such a Silicon-based FTS design for future STAR physics programs.

Structure of Prototype Module



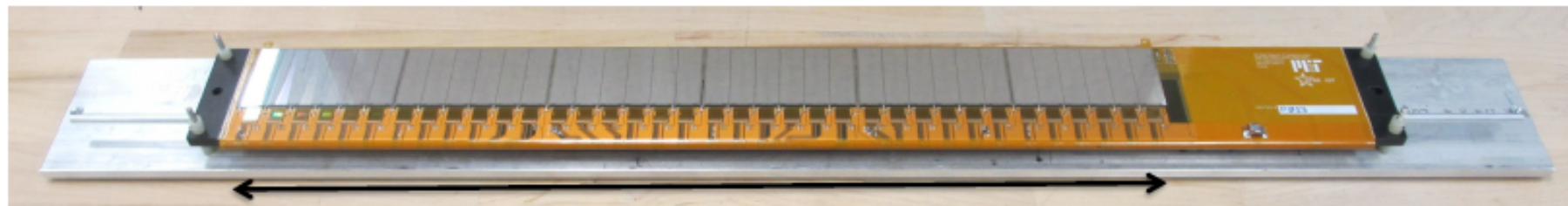
Sensor Mask Design (Babak Abi@UIC)



1. Layer 1 for Al layer at back-plane .
2. Layer 2 for N++ Implant at tailplane.
3. Layer 3 for N-Implant.
4. Layer 5 for P-implant .
5. Layer 7 for Poly-Silicon for Bias resistor .
6. Layer 9 for Metal-layer 1 over SiO2 layer.
7. Layer 10 for Metal Via 1 layer 10 to connect P-implant to Poly-Silicon bias resistor .
8. Layer 13 Metal layer 2 for routing to Bonding Pads at edge of wafer.
9. Layer 14 for Metal Via 2 layer to Connect Metal-layer 1 to 2 .
10. Layer 19 for Passive (protection) layer as negative mask.

Simulation of electrical characteristics and layout optimization on-going

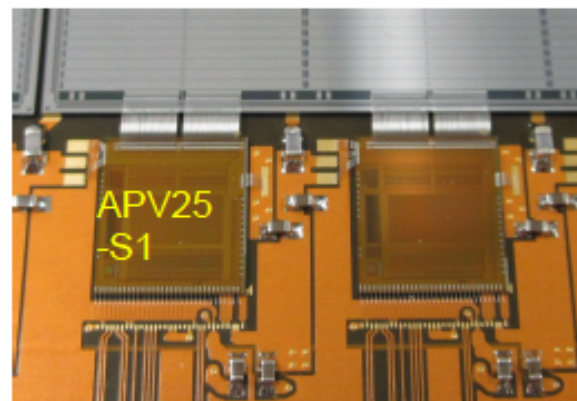
IST – Design Parameters



~50cm



Sensor with 12 x 64 pads



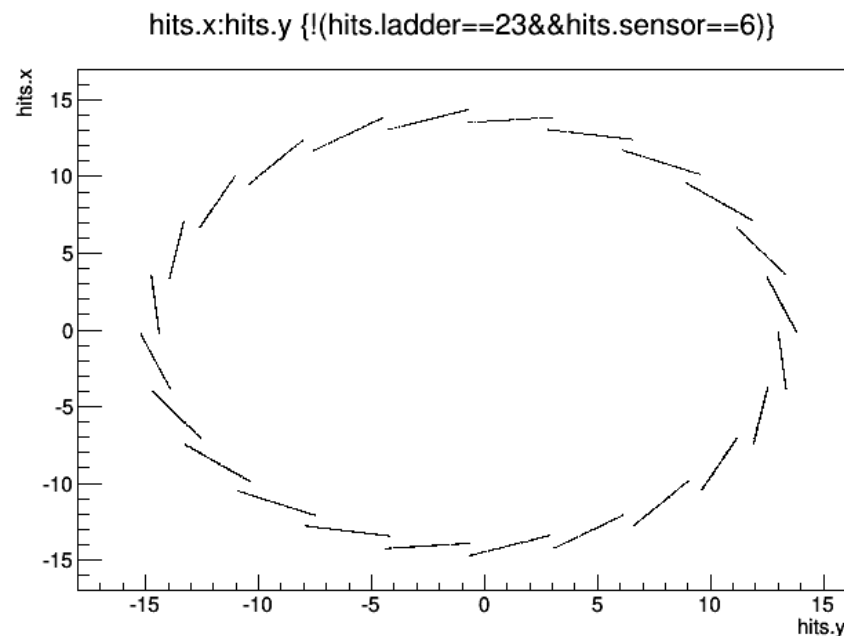
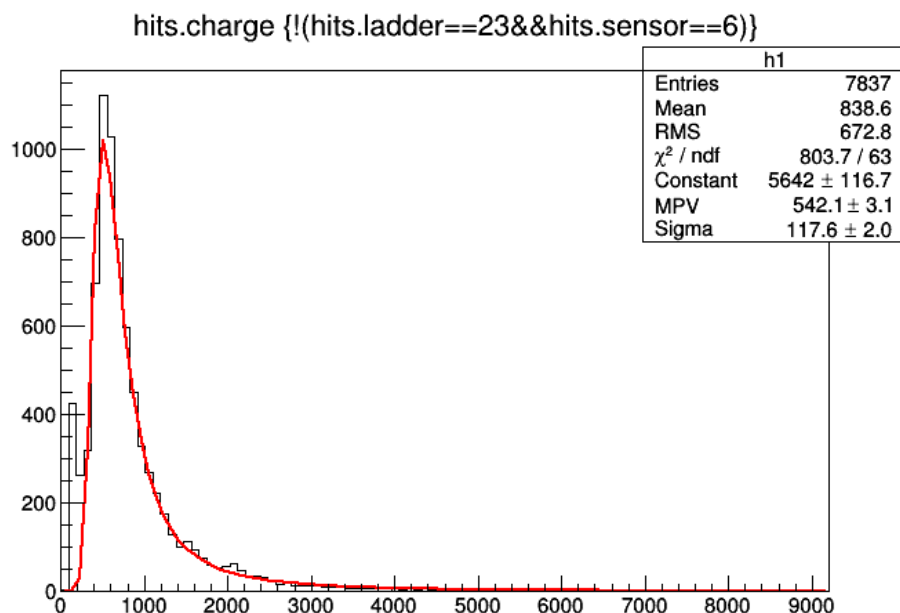
APV25-S1

Radius	14cm
Length	50cm
ϕ -Coverage	2π
$ \eta $ -Coverage	≤ 1.2
Number of ladders	24
Number of hybrids	24
Number of sensors	144
Number of readout chips	864
Number of channels	110592
R- ϕ resolution	$172\mu\text{m}$
Z resolution	$1811\mu\text{m}$
Z pad size	$6000\mu\text{m}$
R- ϕ pad size	$600\mu\text{m}$

IST Stave =

carbon fiber ladder + cooling tube + kapton flexible hybrid
+ 6 silicon pad sensors + 36 APV25-S1 readout chips

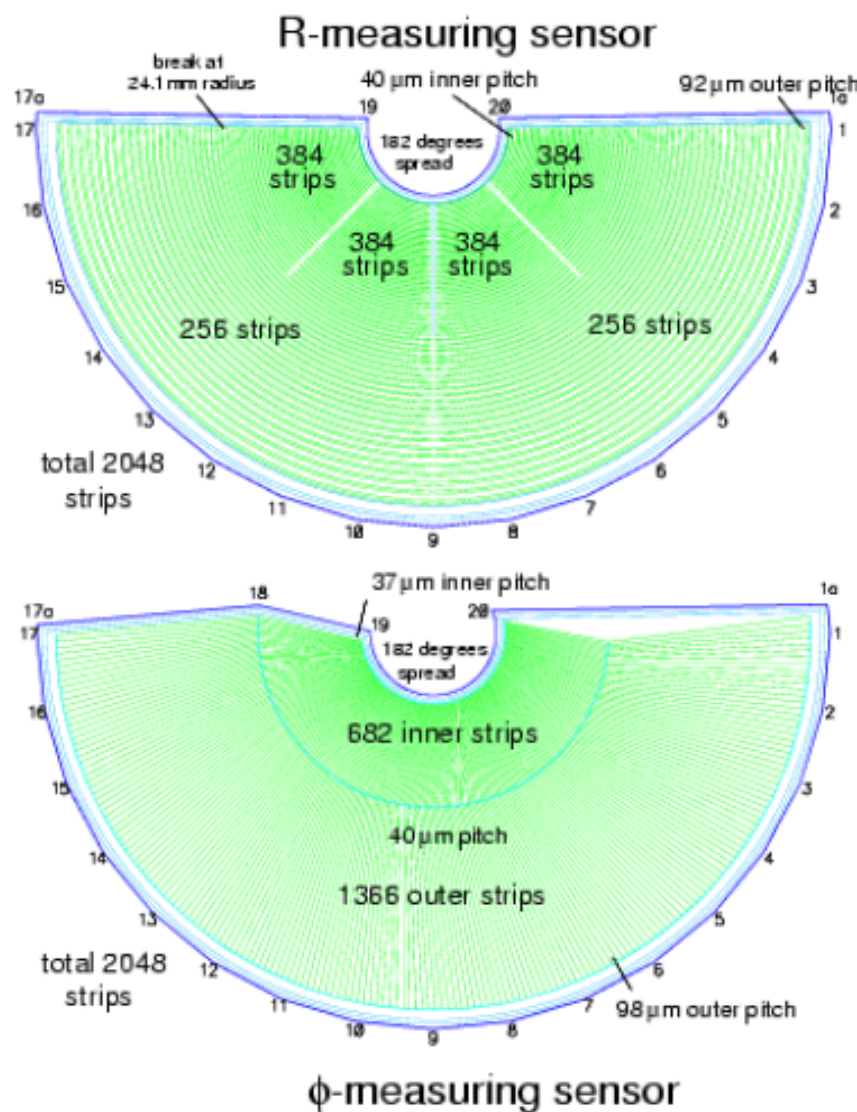
HFT-IST Hits from Cosmic Ray Test (Yaping Wang@UIC)



~96% active channels as of today

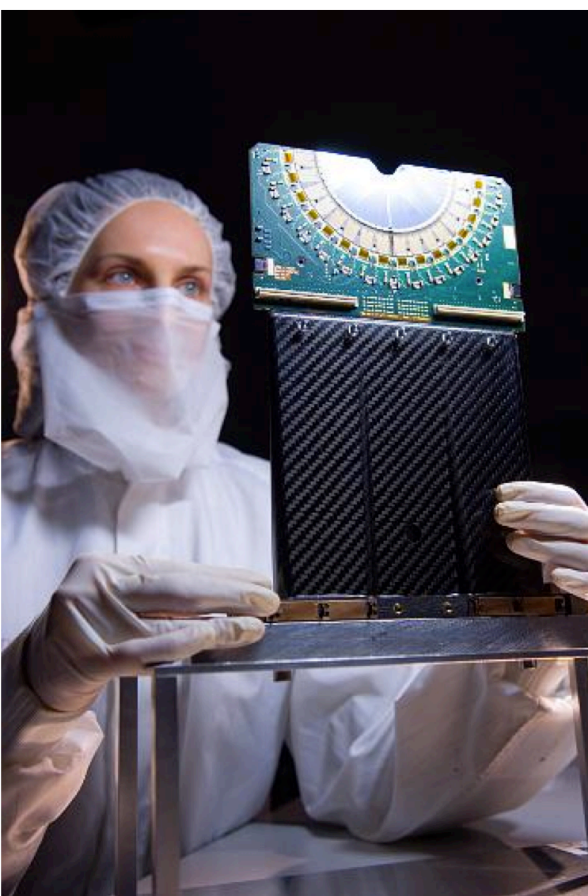
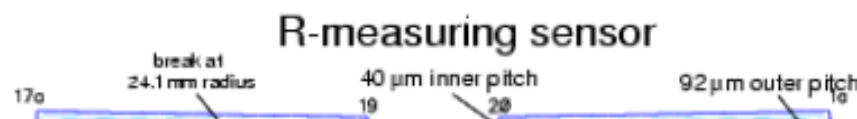
Requirements (2)

- **Trigger** (see talk by Niels Tuning)
 - **FAST** 2D (rz) and 3D ($rz\phi$) standalone tracking for **L1 Trigger**:
Choose $R\Phi$ geometry!
 - Rejection of multiple interactions
- **Baseline Sensor Design**
 - Sensors: $7\text{mm} > R > 44\text{mm}$
(Active area 8mm to 43mm)
 - 182° angular coverage
 - **R sensors**
 - Pitch $40\mu\text{m}$ to $92\mu\text{m}$
 - 45° inner, 90° outer sections
 - **ϕ sensors**
 - Pitch $37\mu\text{m}$ to $40\mu\text{m}$ and $40\mu\text{m}$ to $98\mu\text{m}$
 - Double stereo angle



Requirements (2)

- **Trigger** (see talk by Niels Tuning)
 - **FAST** 2D (rz) and 3D ($rz\phi$)



98 μ m

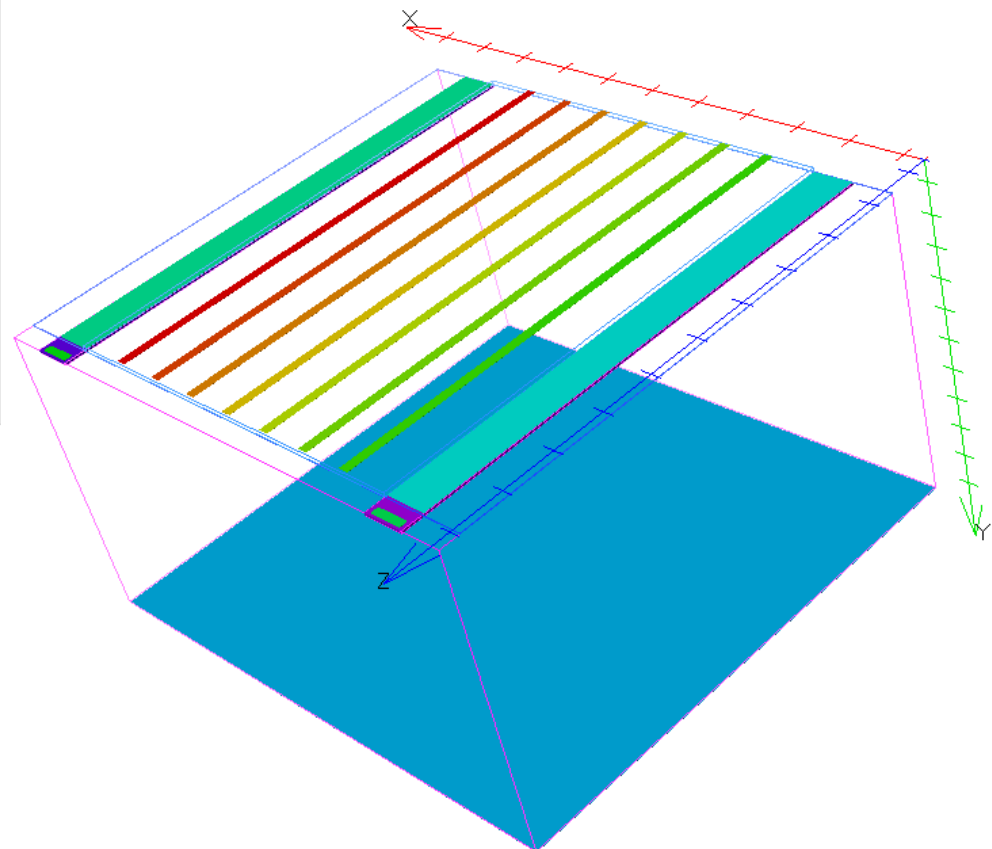
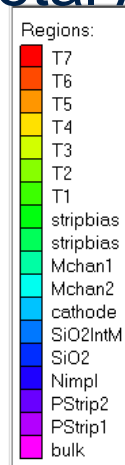
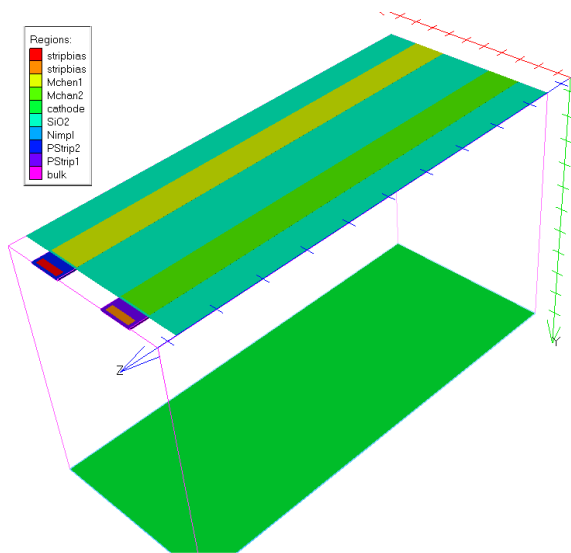
- Double stereo angle



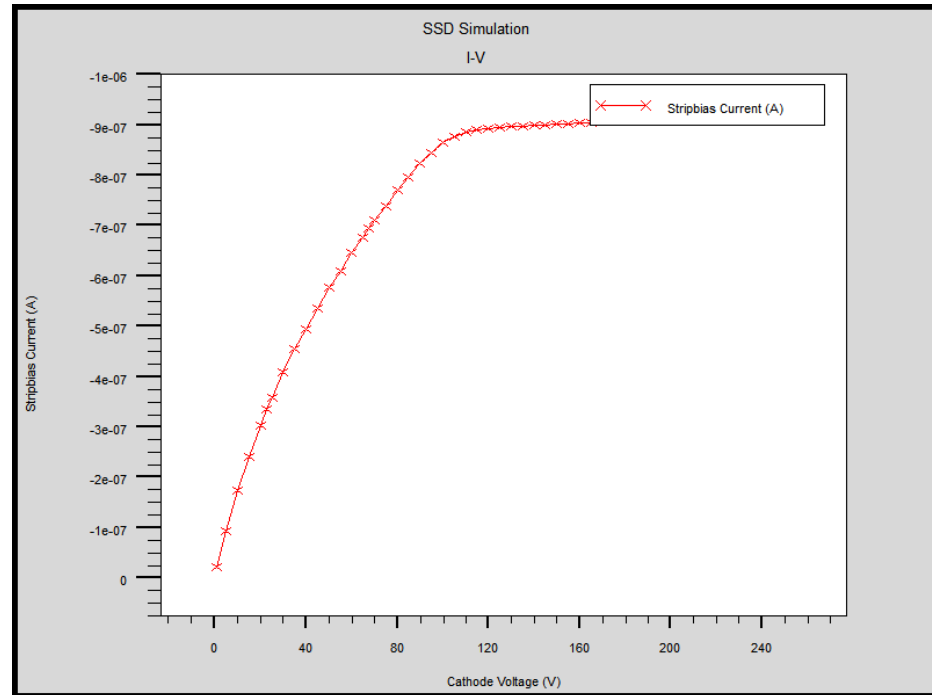
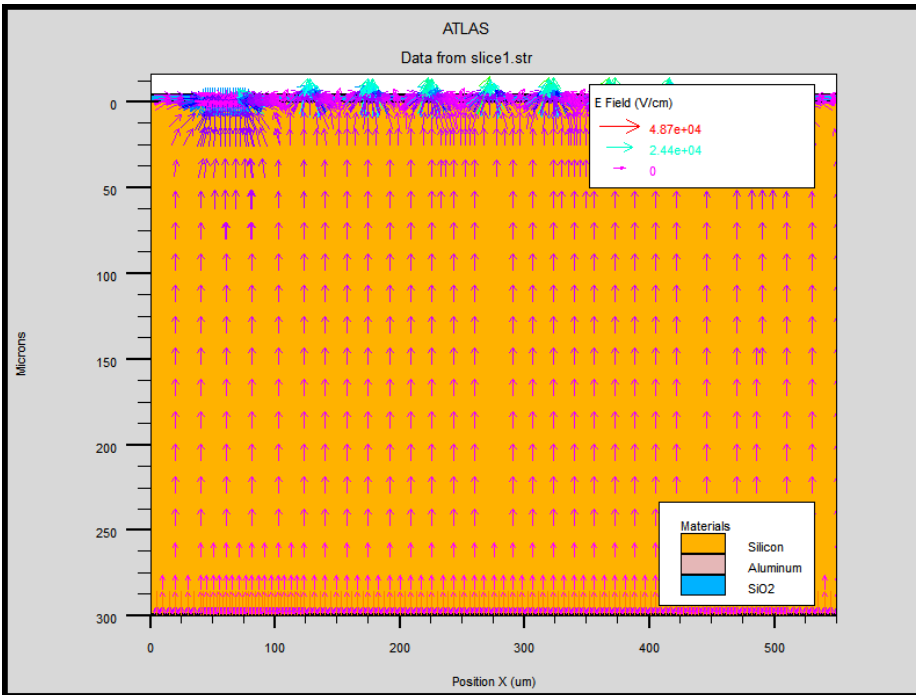
ϕ -measuring sensor

Sensor Characteristics from Simulation (Babak@UIC)

- Simulation by SILVACO (3D Semi-conductor device simulation)
- Single-sided double metal AC coupled sensor
- 300μ , $5K\Omega/\text{cm}$ PinN

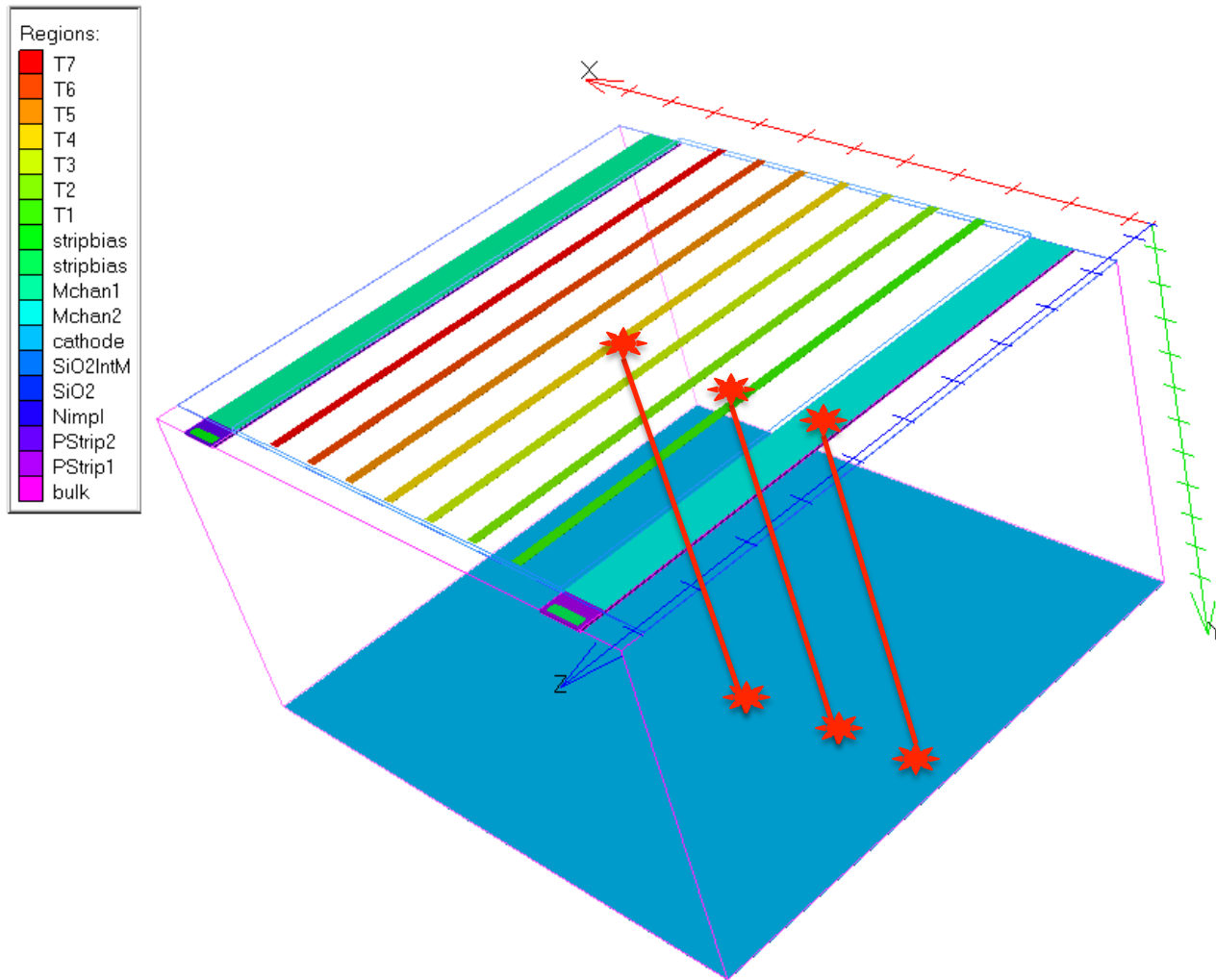


Sensor Characteristics from Simulation (Babak@UIC)

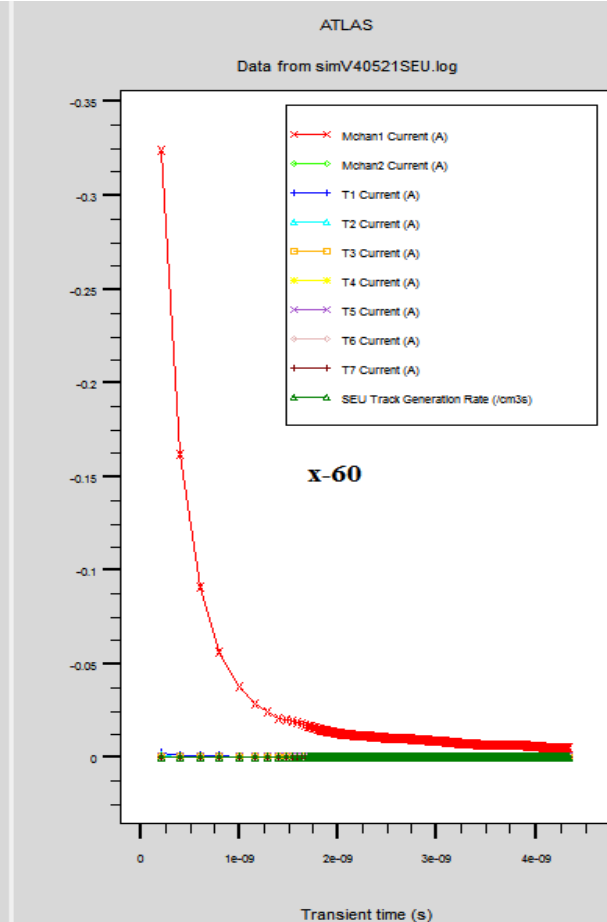
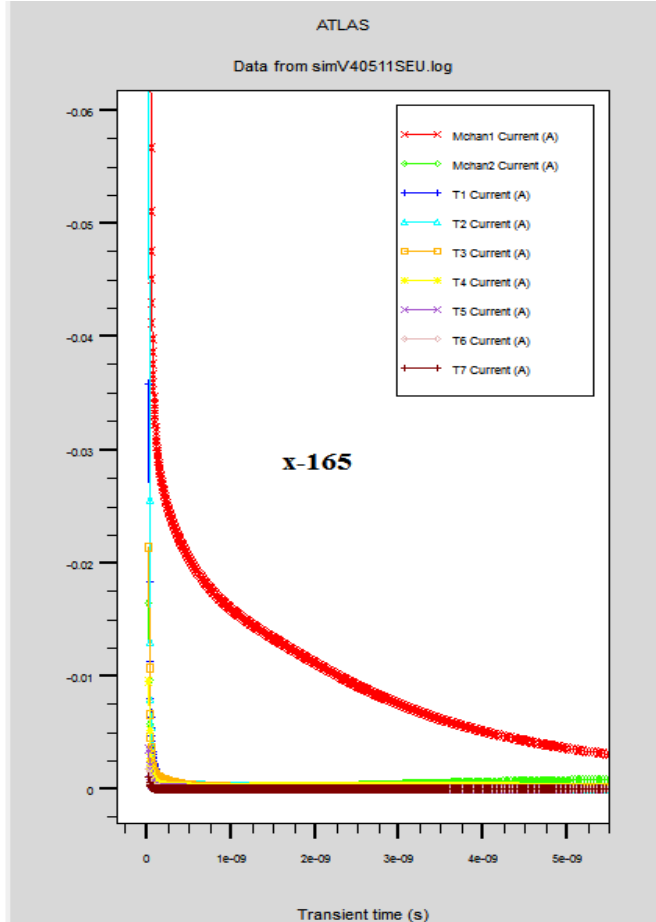
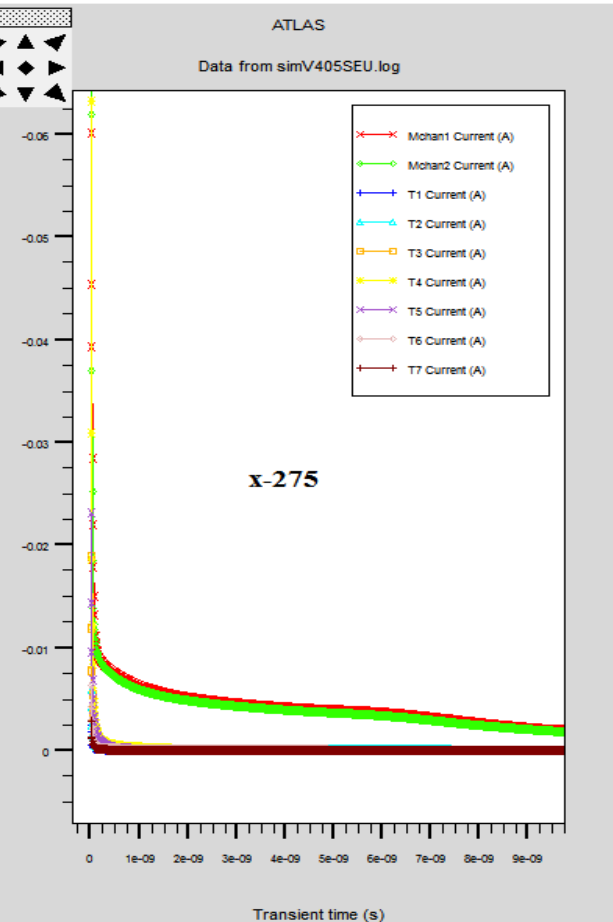


Good DC behavior, full depletion voltage ~ 100 V

Sensor Characteristics from Simulation (Babak@UIC)



Sensor Characteristics from Simulation (Babak@UIC)



Good signal behavior with small amount of cross-talk

Quote from Micron UK

Single Mask Set Design/Engineering Qty 10 Mask Unit Price #	\$2500	\$25,000
CHIP ONLY cut probe tested		

Prototype from whole 6 inch wafer diced types Z1 for Q3-2014.	
Unit Price \$4500 PROBE tested wafer ONLY	\$4500
Additional \$ 500/detector: Assembled on test frame: WIRE BONDED	\$500
Total I-V.C-V ALPHA.NOISE/LIFE TESTS	per wafer

<u>Delivery:</u>	Design 2 months Prototypes 4 months from Design Approvals +/- 1 month contingency Mass Production : Over a period of 6 months. Completion end 2016. Call off rate to be agreed with customer +/- 1 month contingency
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Quote from Hamamatsu

Single Mask Set Design/Engineering CHIP ONLY cut probe tested	\$80,000
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Prototype from whole 6 inch wafer diced types Z1 for Q3-2014.	\$65,00 per chip
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Funding Request for R&D

Table 1
Budget to develop Silicon sensors and to identify frontend readout chips

Silicon Sensor Mask Design and Procurement (\$30k+\$5.4k*8)	\$73.2k
Frontend Readout Chip Procurement (CHF15*192)	\$3.3k
PCB Design and Procurement	\$20.0k
Electronics (DAQ, test setup and test run equipment)	\$45.0k
Prototype Module Design and Assembly (with 26% overhead)	\$20.2k
Machine and Electronics Shop (with 26% overhead)	\$25.2k
Travel (with 26% overhead)	\$6.3k
Shipment (with 26% overhead)	\$6.3k
Total Direct Cost	\$187.5k
Total Indirect Cost	\$12.0k
Total Cost	\$199.5k

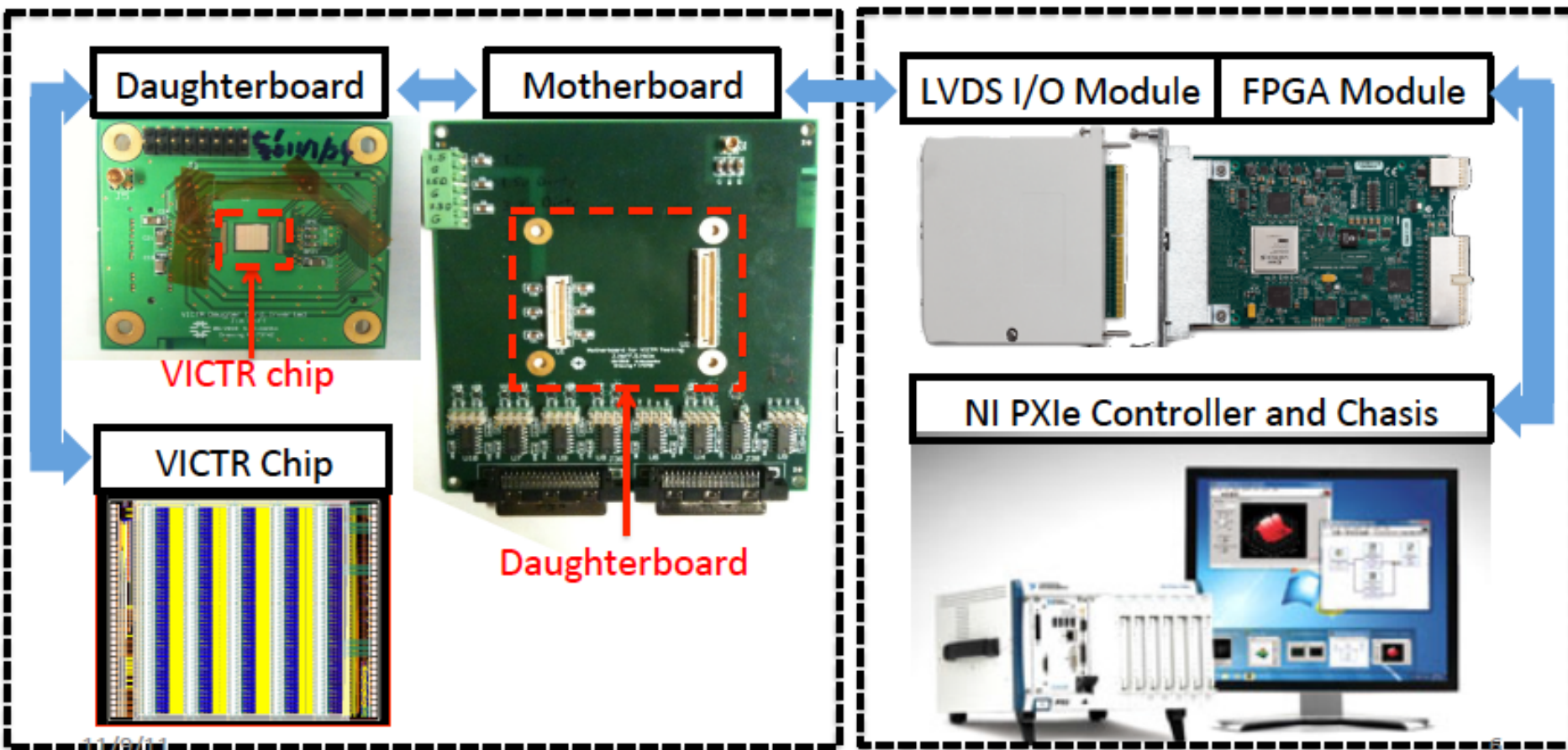
Table 2
Budget to develop Silicon sensors only (with APV readout).

Silicon Sensor Mask Design and Procurement (\$30k+\$5.4k*6)	\$62.4k
Frontend Readout Chip Procurement (CHF15*192)	\$3.3k
PCB Design and Procurement	\$10.0k
Electronics (DAQ, test setup and test run equipment)	\$10.0k
Prototype Module Design and Assembly (with 26% overhead)	\$15.1k
Machine and Electronics Shop (with 26% overhead)	\$18.9k
Travel (with 26% overhead)	\$12.6k
Shipment (with 26% overhead)	\$6.3k
Total Direct Cost	\$124.7k
Total Indirect Cost	\$10.9k
Total Cost	\$135.6k

Micron UK-based

Test Stand

- Two customized PCB boards (passive components+LVDS/CMOS drivers).
- National Instruments FlexRIO system (PC, on-board FPGA module, LVDS I/O adapter module) and Labview.



Funding Request for R&D

LHCb VELO Sensor Wafer by Micron UK

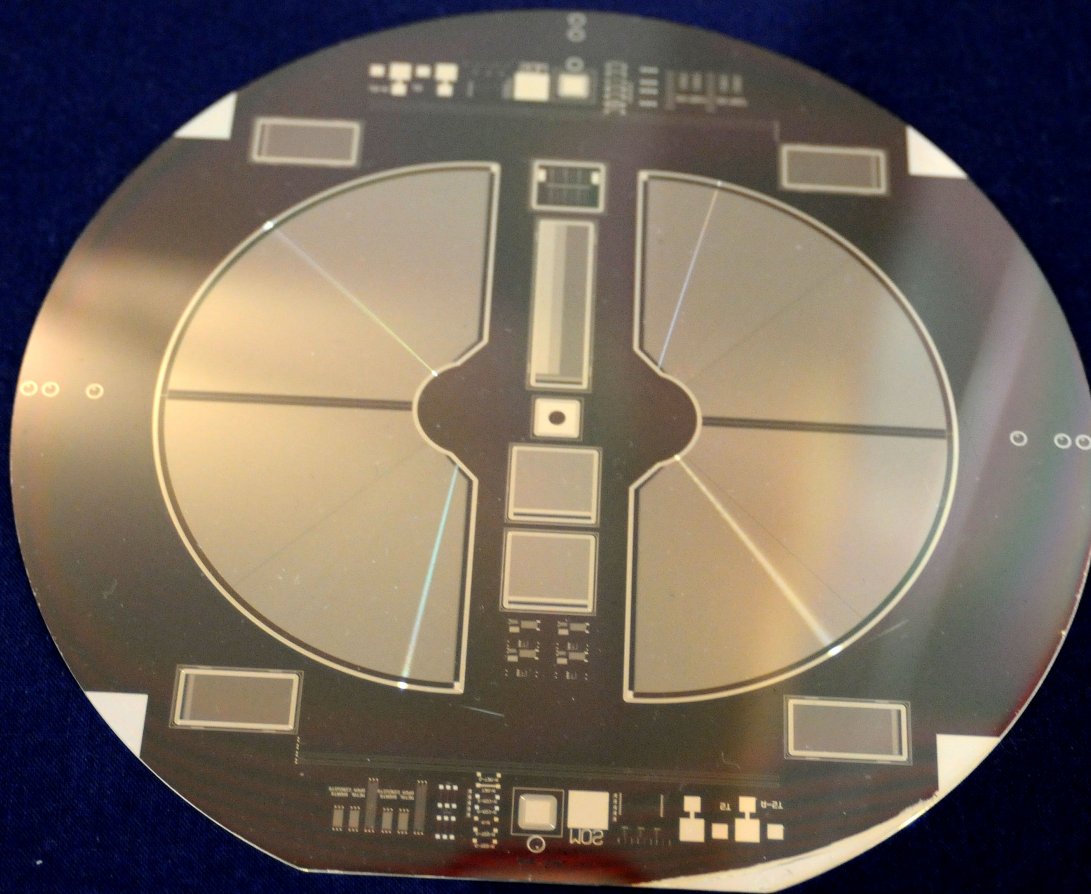


Table 1
Budget to develop
sensors and to integrate
frontend readout

	\$73.2k
	\$3.3k
	\$20.0k
	\$45.0k
	\$20.2k
	\$25.2k
	\$6.3k
	\$6.3k
	\$187.5k
	\$12.0k
	\$199.5k

Table 2
Budget to develop
sensors only (with
readout).

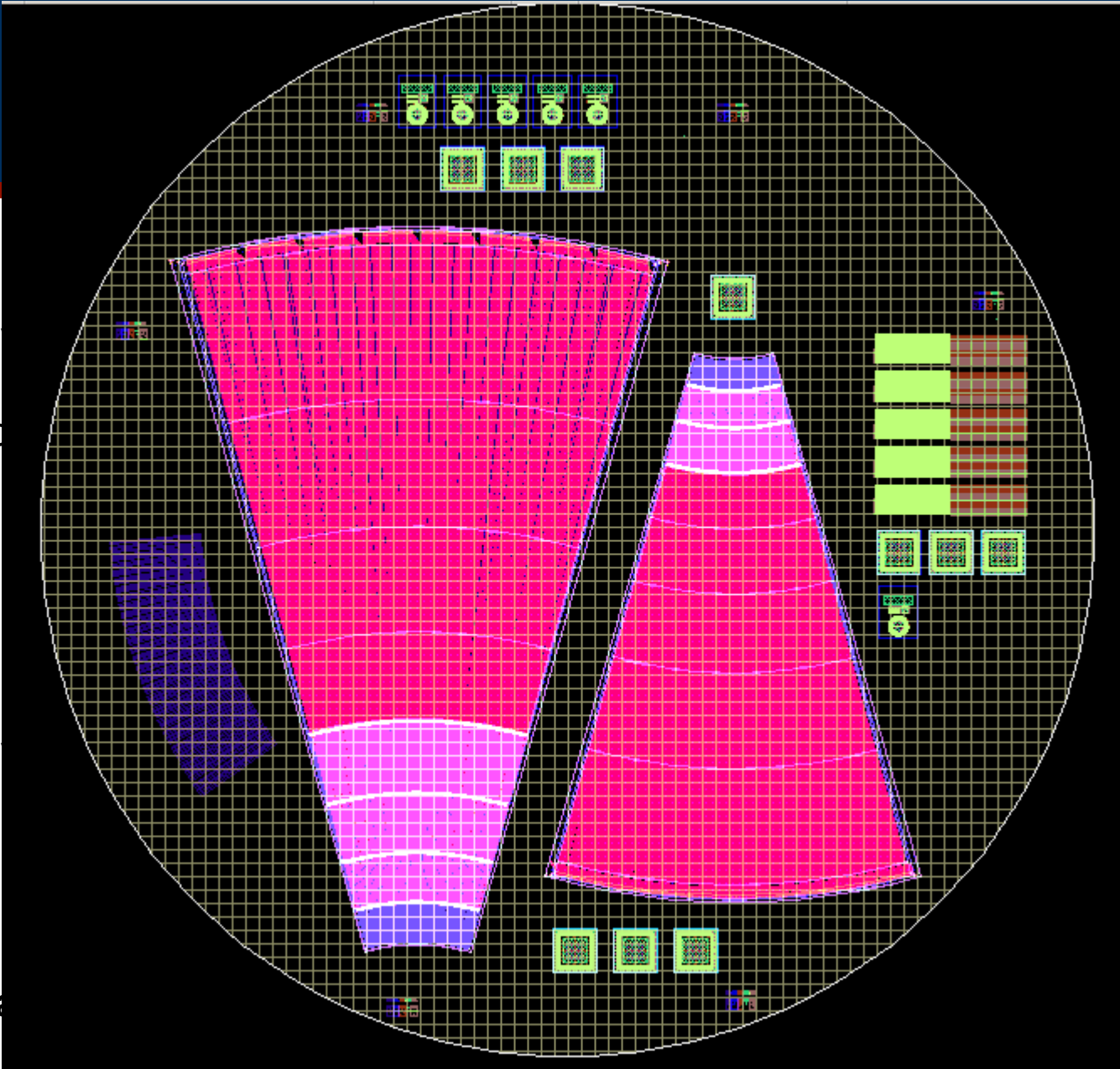
	\$62.4k
	\$3.3k
	\$10.0k
	\$10.0k
	\$15.1k
	\$18.9k
	\$12.6k
	\$6.3k
	\$124.7k
	\$10.9k
	\$135.6k

Micron UK-based

Table 1
Budget to de
sensors and
frontend read

Table 2
Budget to de
sensors only
readout).

Micron UK-ba



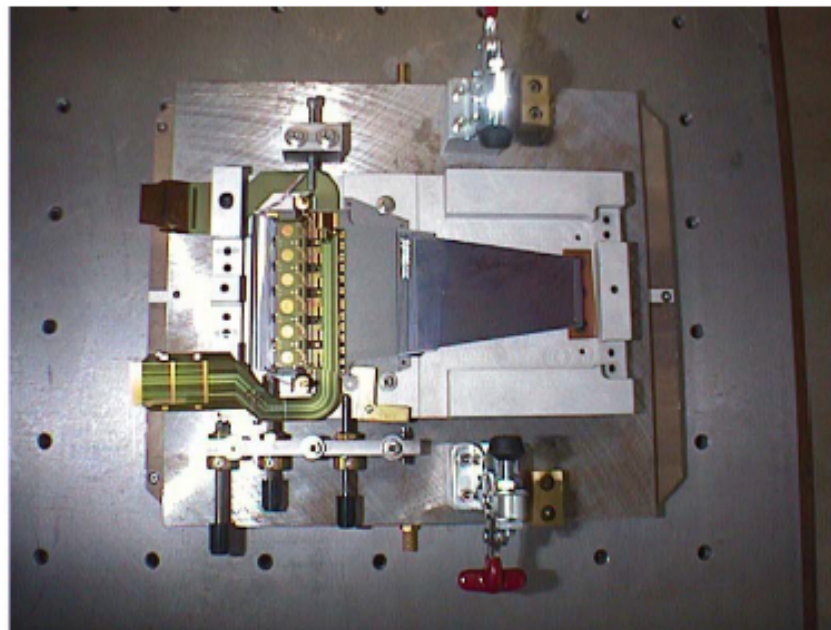
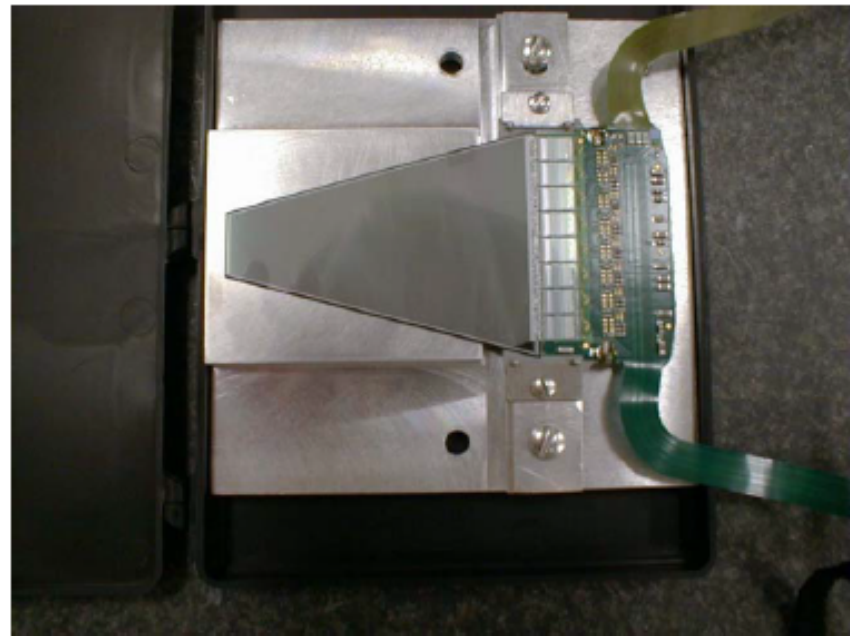
	\$73.2k
	\$3.3k
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	\$6.3k
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	\$12.0k
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	\$15.1k
	\$18.9k
	\$12.6k
	\$6.3k
	\$124.7k
	\$10.9k
	\$135.6k

Disk Detectors

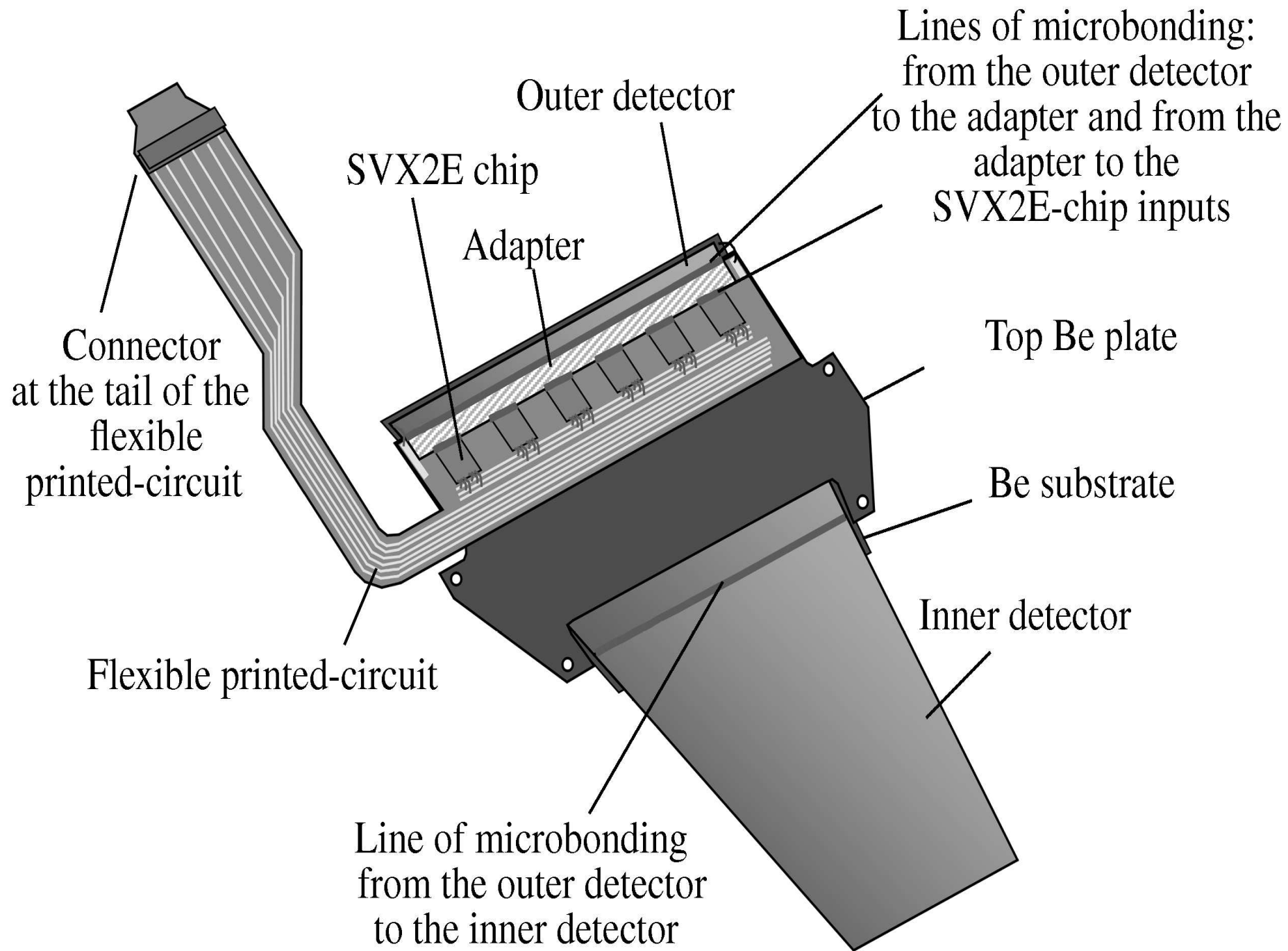
F-Wedge Detectors (144)

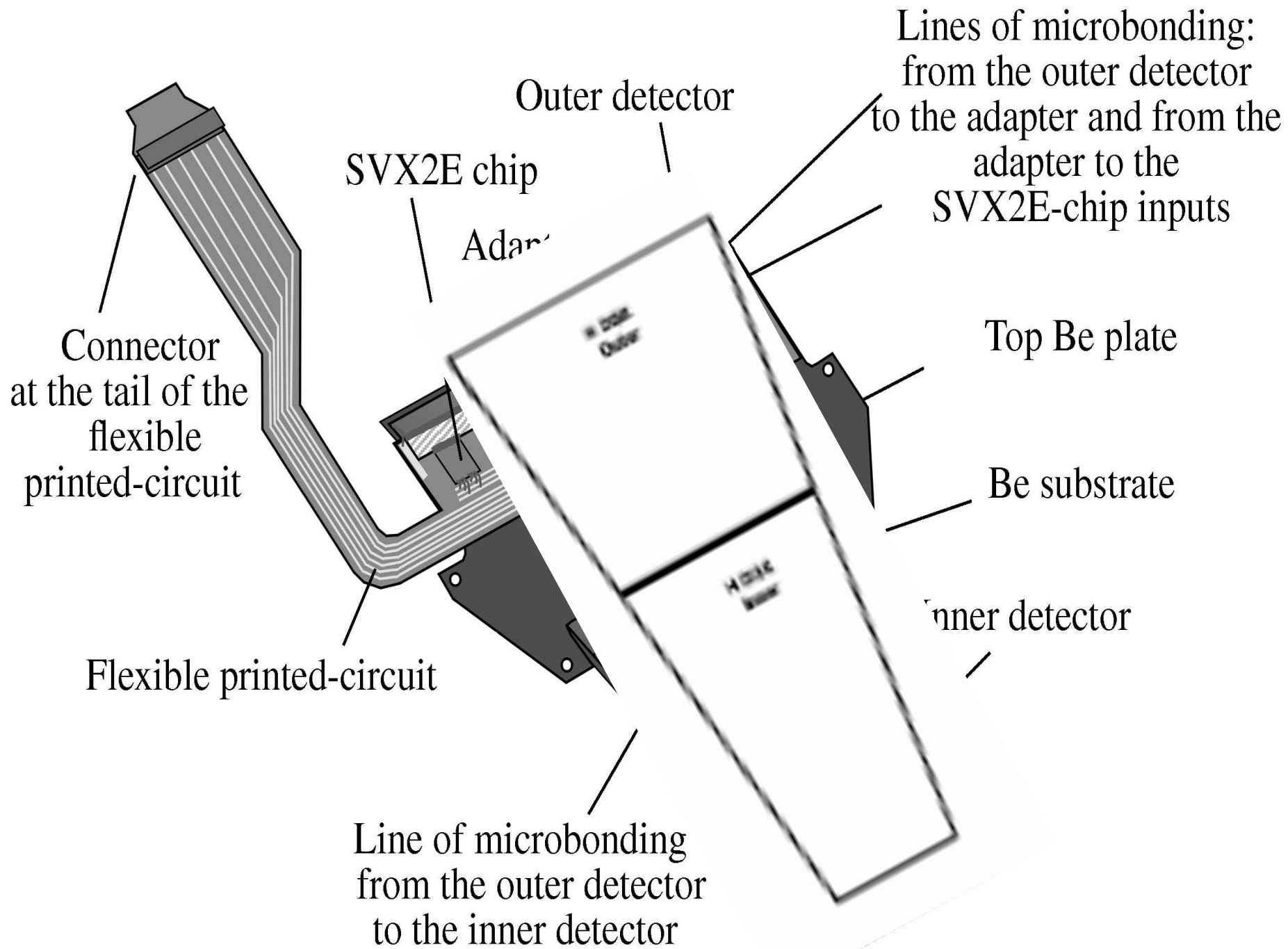
- 8+6 chip readout
- $2.6 \text{ cm} < r < 10 \text{ cm}$
- Double sided wedges with $\pm 15^\circ$
- $50 \text{ }\mu\text{m}$ (p-side), $62.5 \text{ }\mu\text{m}$ (n-side)
- Variable strip length

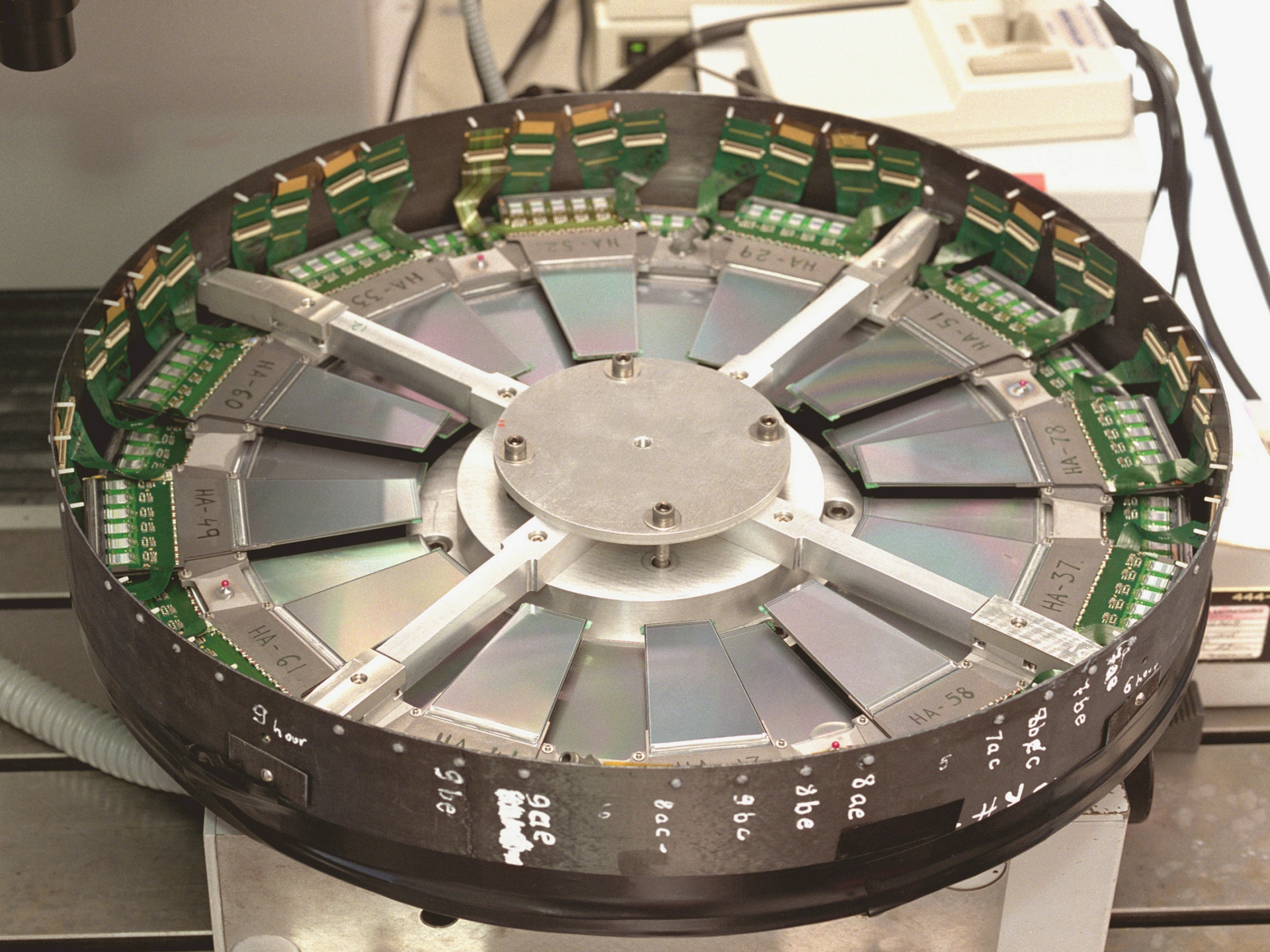


H-Wedge Detectors (384)

- 6+6 chip readout
- $9.6 \text{ cm} < r < 23.6 \text{ cm}$
- Single sided glued back-to-back with $\pm 7.5^\circ$
- $40 \text{ }\mu\text{m}$ (p-side) strip pitch
- $80 \text{ }\mu\text{m}$ readout pitch
- Variable strip length







R&D Timescale and Deliverable

If proposal approved:

- Finalize wafer layout and place order (UIC) – Spring 2014
- Sensor characterization (Vendor/UIC) – Fall 2014
- Prototype and DAQ assembly (Fermilab/UIC) ~ Fall/Winter 2014
- Test the prototype performance (UIC) ~ 2015

Goal/Deliverable

- develop Silicon Ministrip sensor and identify suitable front-end readout chips, assembled on prototype modules
- Involve interested institutions to join these R&D efforts and look into electrical / mechanical with a full FTS system design in 2016

Backup

Previous Talks

Silicon Strip Option Discussion (Zhenyu Ye@UIC):

http://drupal.star.bnl.gov/STAR/system/files/yezhenyu_eSTAR_20130423_3.pdf

https://drupal.star.bnl.gov/STAR/system/files/yezhenyu_eSTAR_20130829.pdf

https://drupal.star.bnl.gov/STAR/system/files/yezhenyu_eSTAR_20131015.pdf

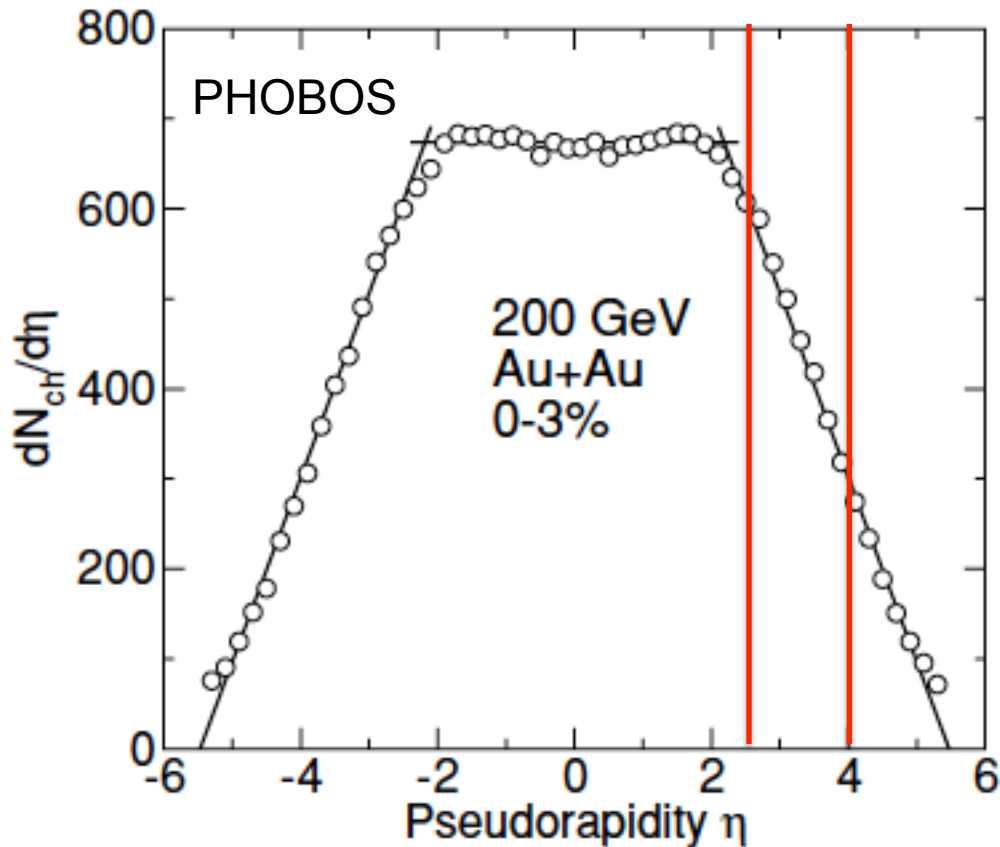
https://drupal.star.bnl.gov/STAR/system/files/yezhenyu_eSTAR_20140111.pdf

Simulation Discussion (Alexander Schmah@LBNL):

http://www.star.bnl.gov/protected/heavy/aschmah/Presentations/aschmah_eSTAR_Silicon_Strip_May_2013_V2.pdf

https://drupal.star.bnl.gov/STAR/system/files/aschmah_eSTAR_Forward_Tracking_ULCA_8_2013_V4.pdf

Occupancy

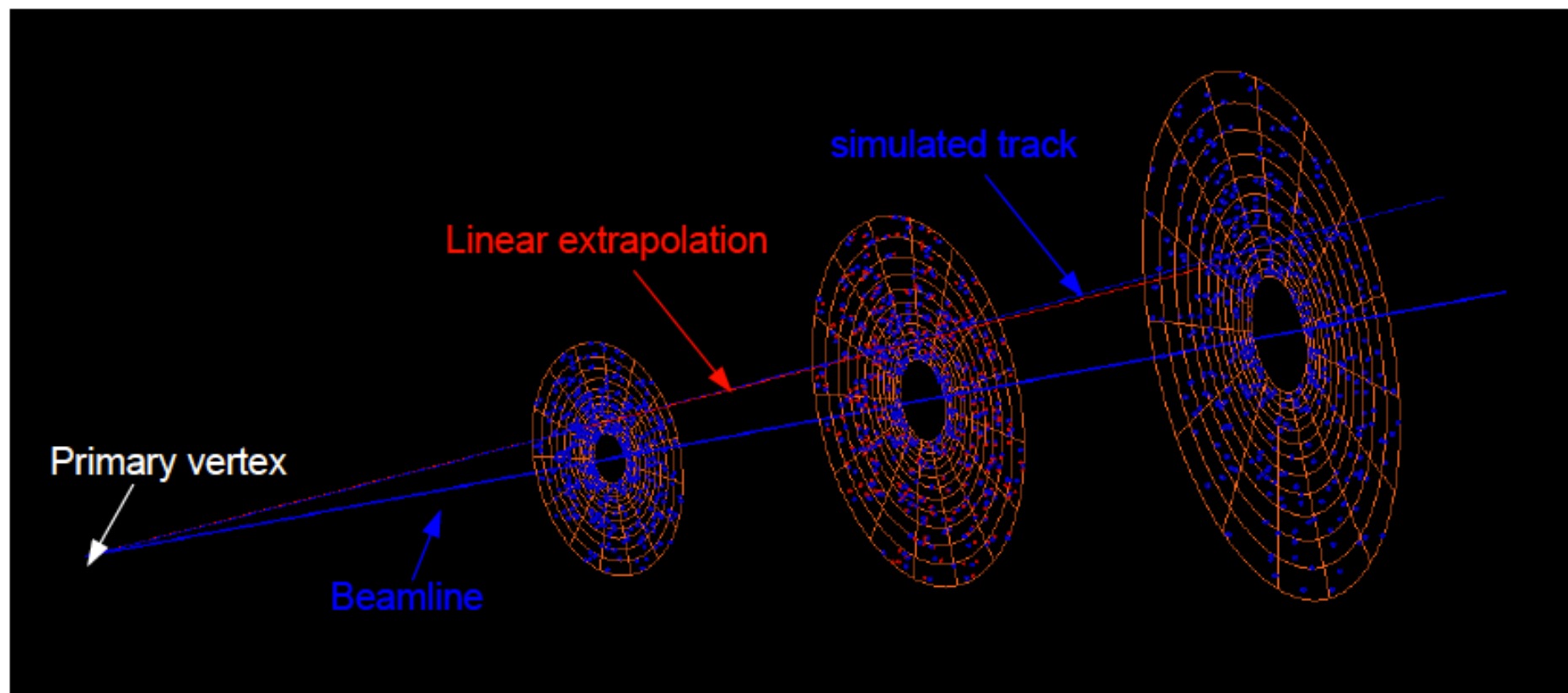


Assume total track=2*primary tracks:

Occupancy \leq 5% (inner)
10% (outer)

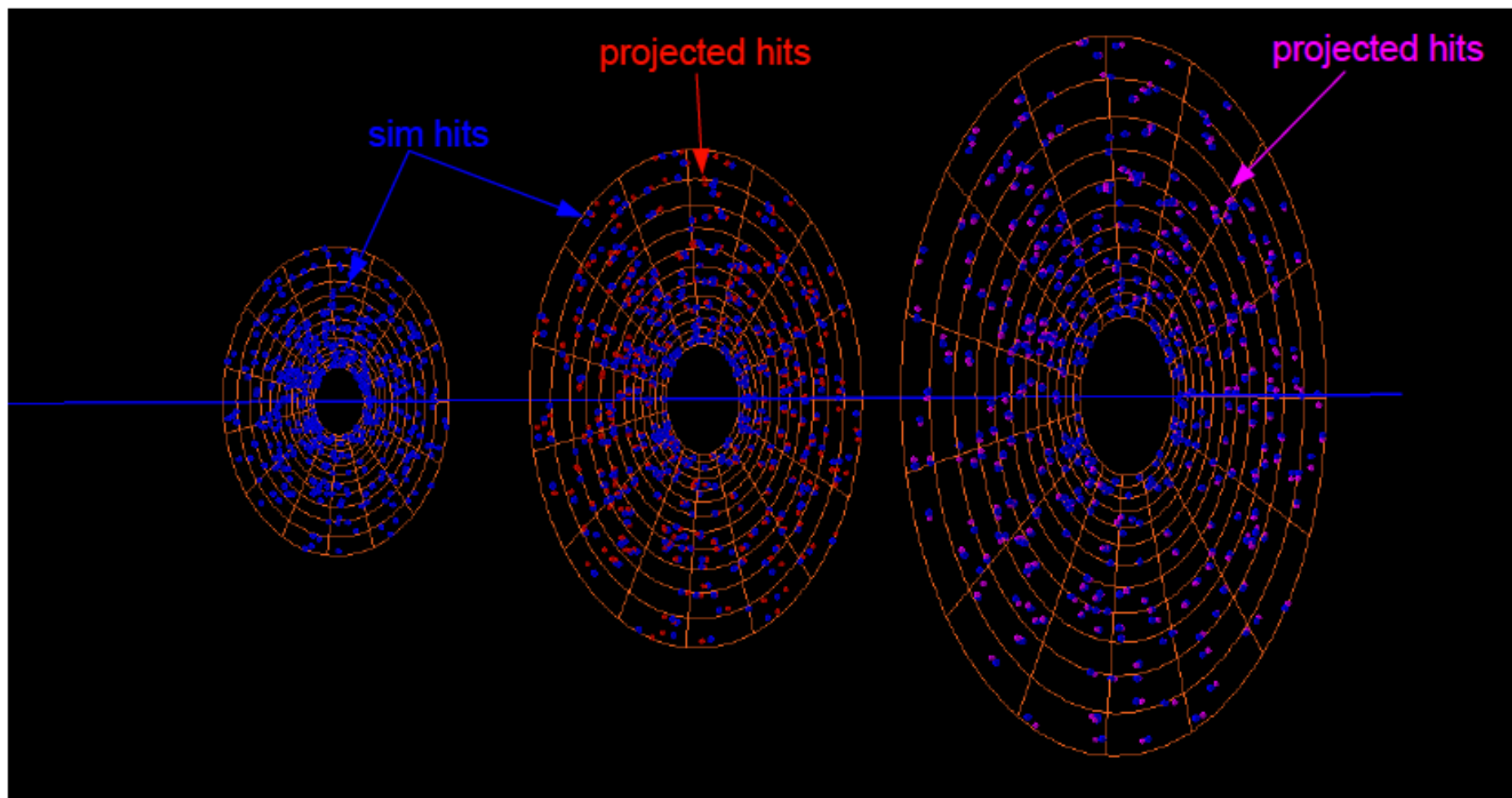
for 0-3% Au+Au collisions at 200 GeV

Hit Matching: 2nd Plane



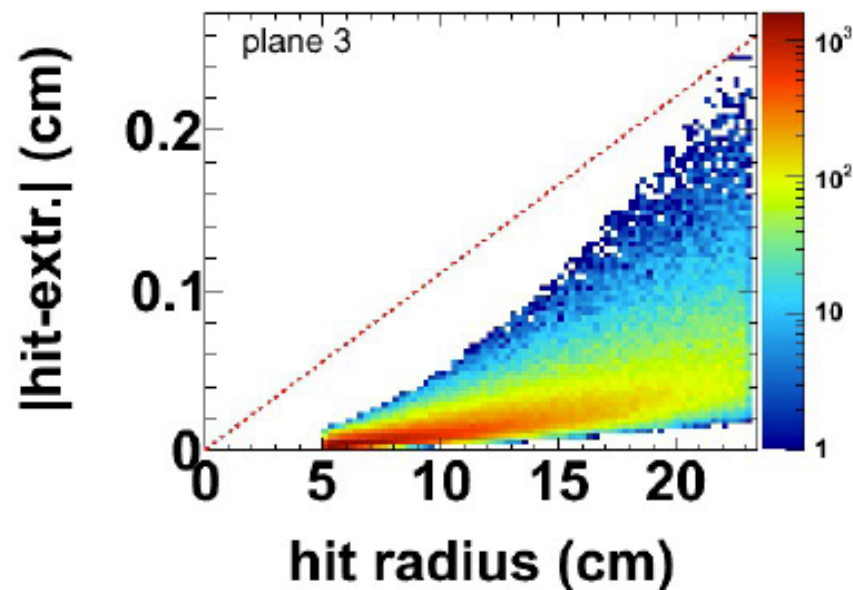
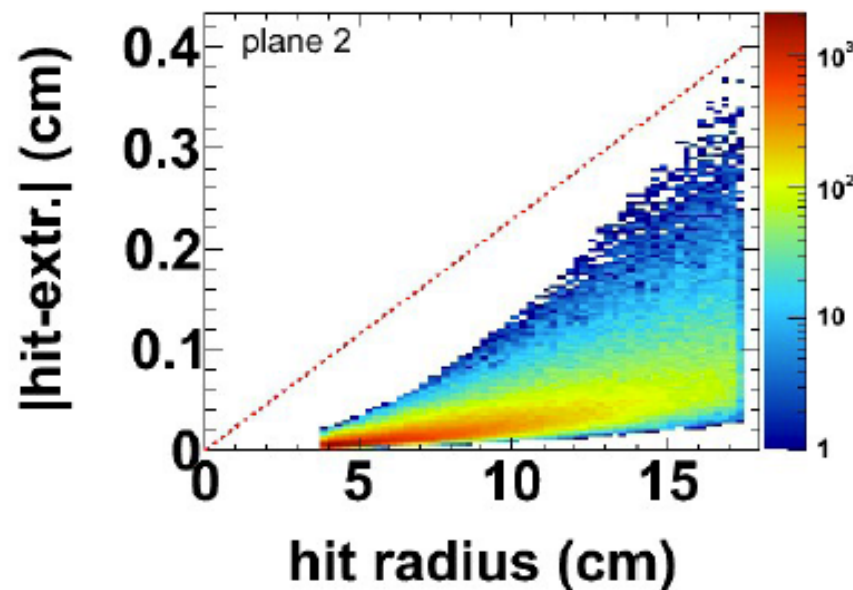
- First step: Simple hit matching for tracking
- Linear projection from primary vertex, first hit point to 2nd plane
- Red: linear extrapolation (tracks and hits points)
- Blue: simulated tracks and hit points

Hit Matching: 3rd Plane



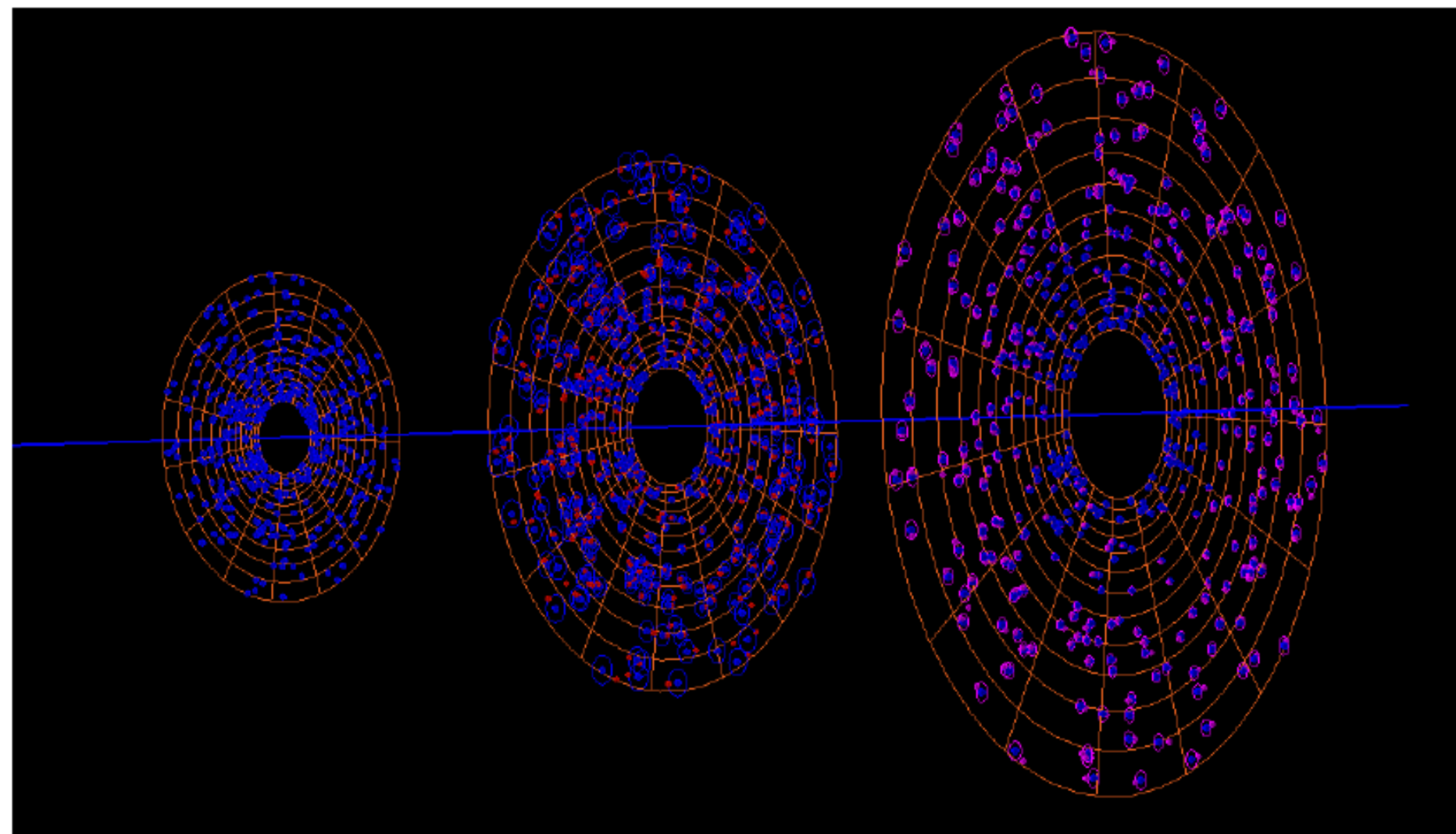
- Linear extrapolation from first and second plane to third plane (magenta hit points)
- Blue: simulated hit points

Hit Matching



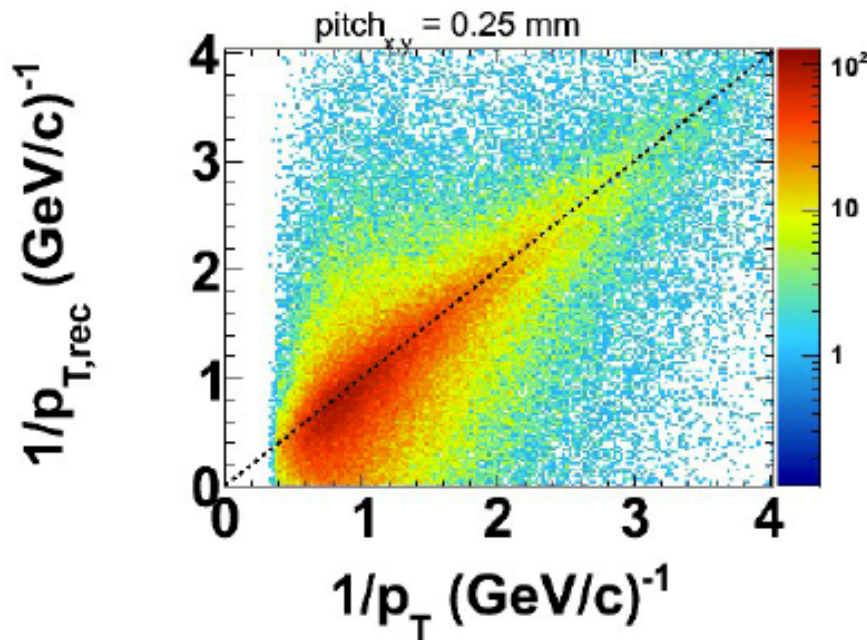
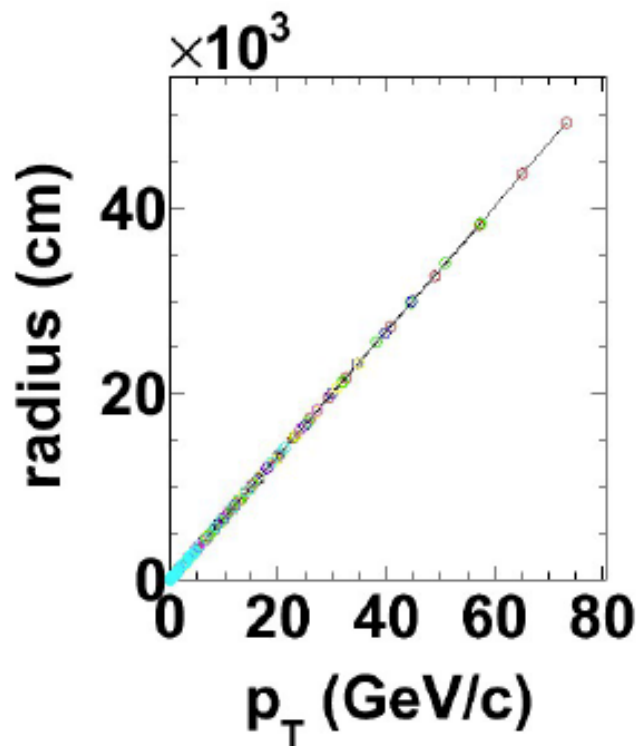
- Distance to linear extrapolations calculated for 2nd and 3rd plane
→ distance between blue and red/magenta hit points
- 500 mb events used
- No ambiguities due to noise yet
- Red line: all hits included for hit matching window

Hit Matching Windows



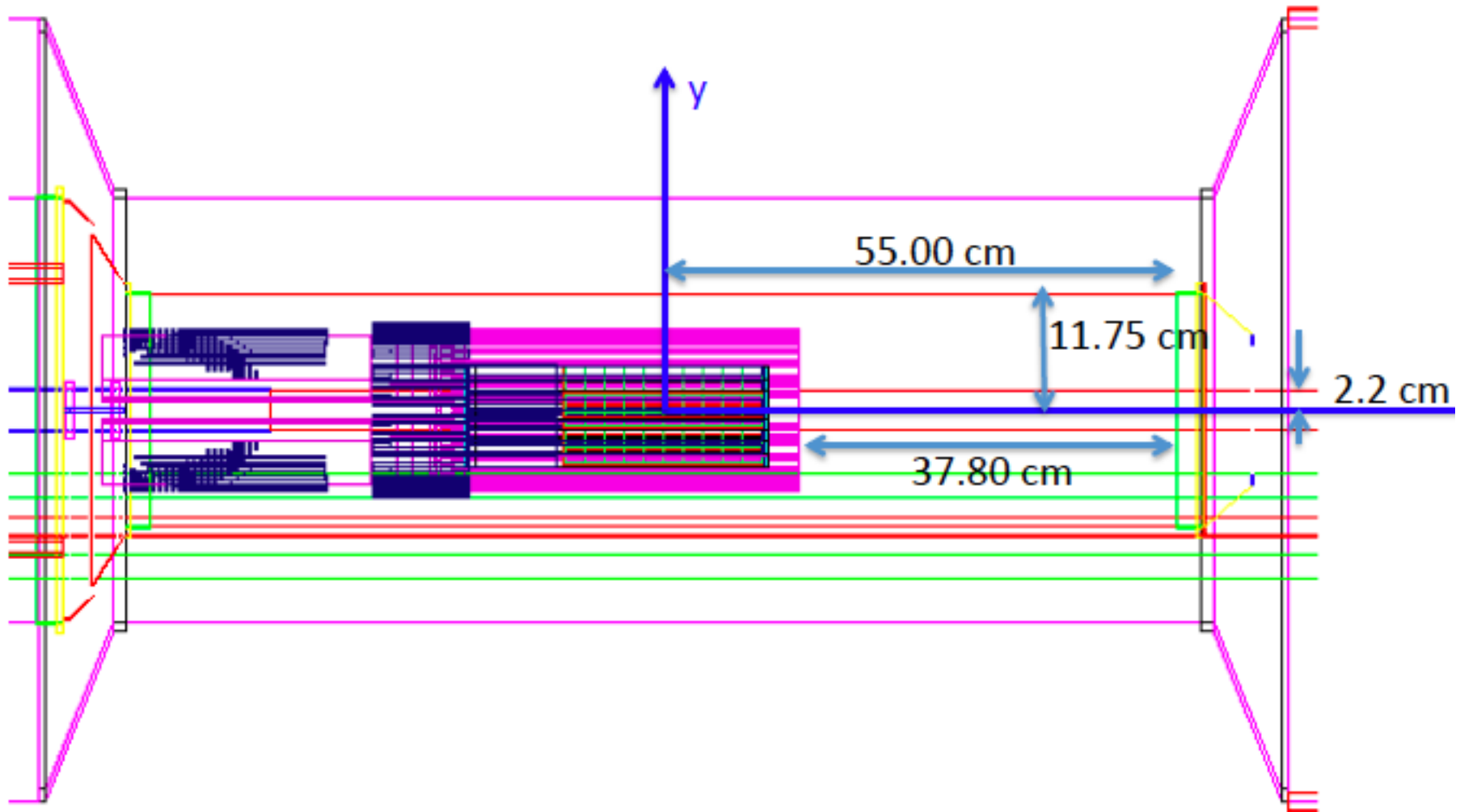
- Hit search radii as a function of hit radius calculated for 2nd and 3rd plane

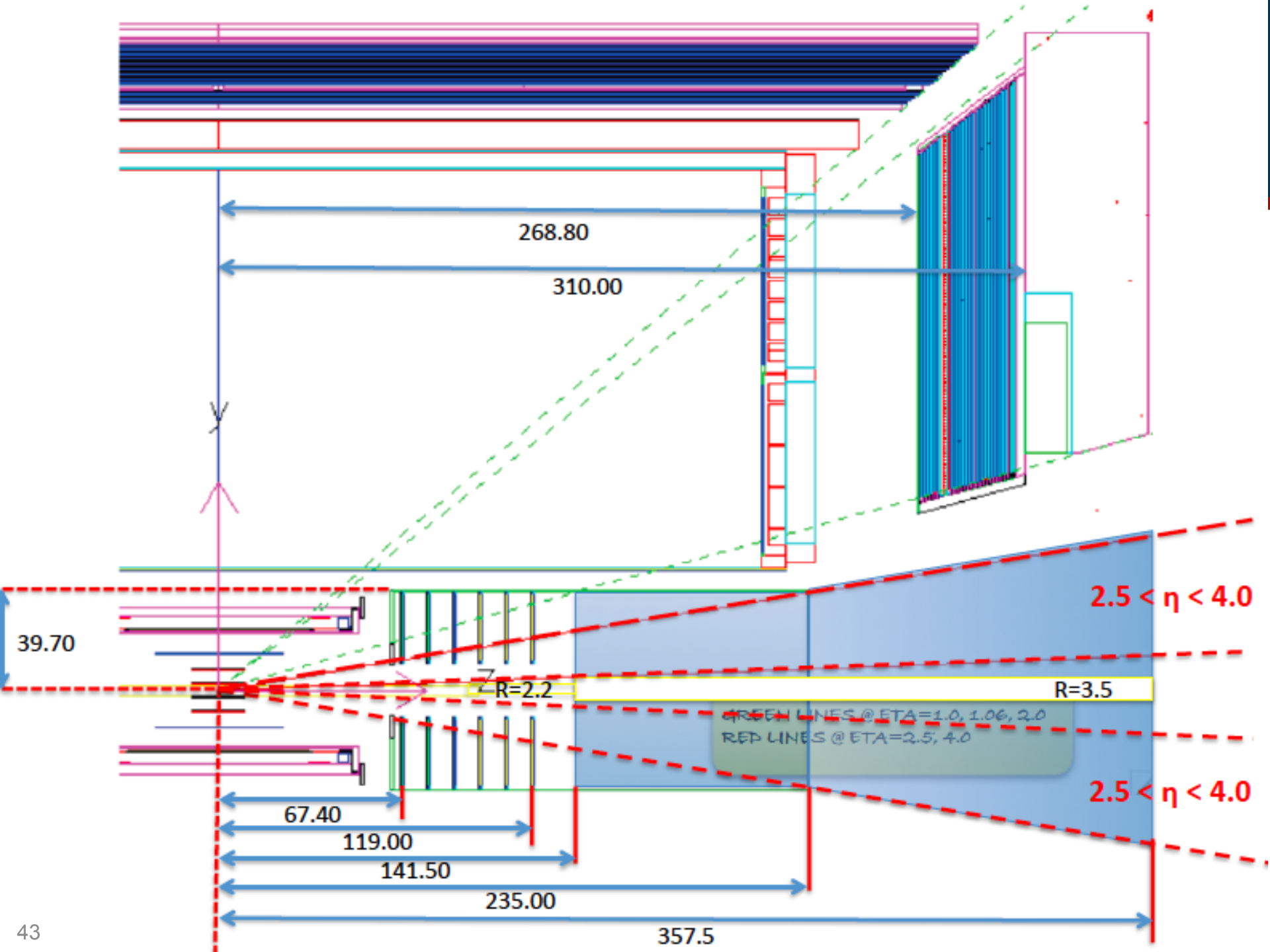
Momentum Reconstruction



- Circle fits to hit points in transverse plane
→ linear correlation between circle radius and p_T
- Good correlation between reconstructed p_T and input p_T
- Tendency to larger reconstructed p_T values

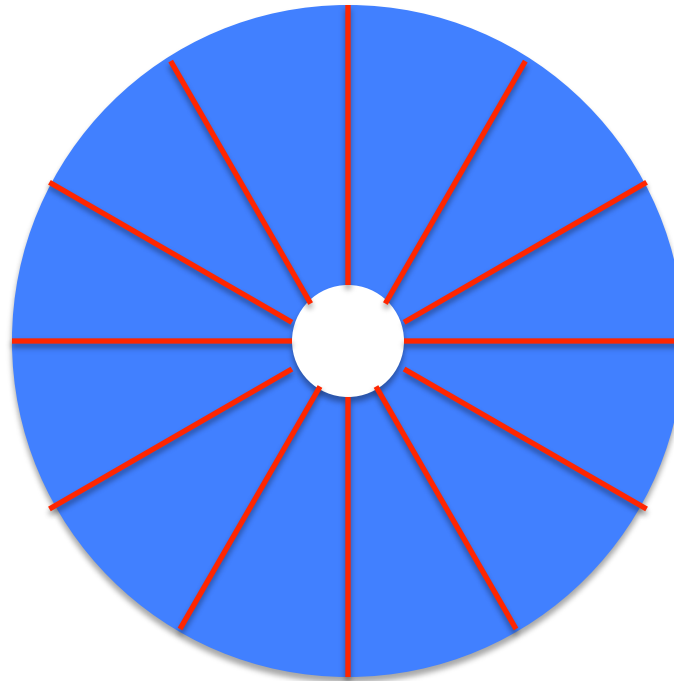
Locations



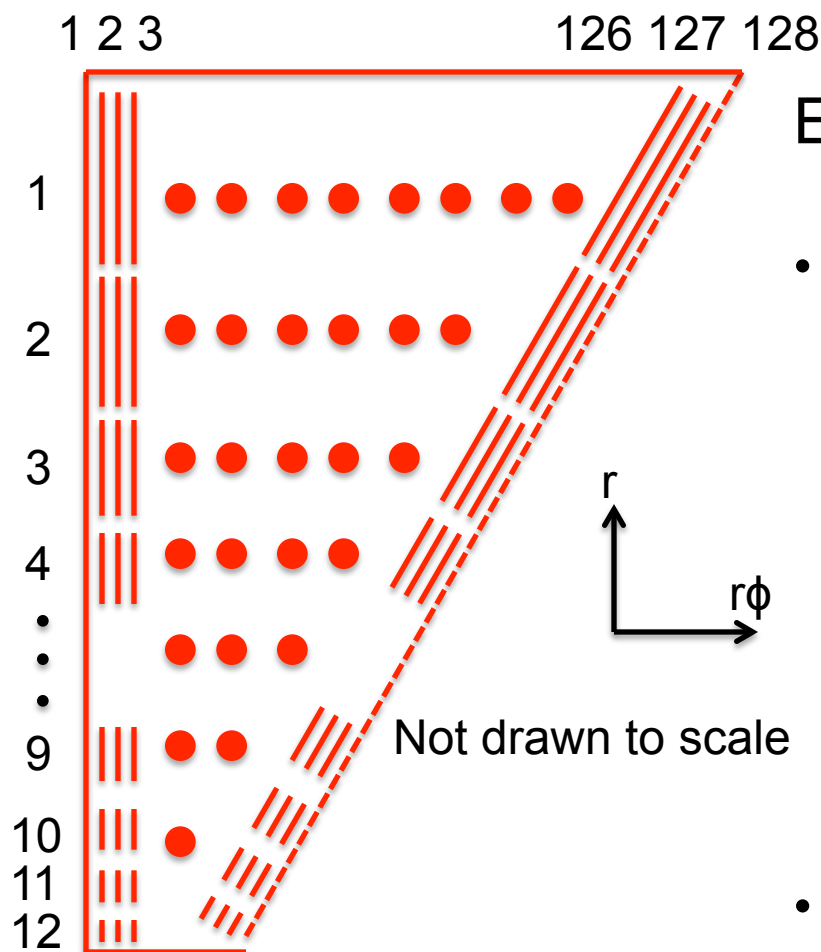


Silicon Strip Disk

- 3 Silicon strip disks at $Z=70, 105, 140$ cm
- Inner/outer radius 25/115, 38/175, 50/230mm for $\eta=[2.5-4]$ coverage



Silicon Mini-Strip Sensor



Each disk has

- 12 single-sided double metal silicon mini-strip sensors, with 12×128 strips:

$Z=700\text{mm}$

0.11×3.4 (inner)-...- 0.43×13.7 (outer)

$Z=1050\text{mm}$

0.165×5.1 (inner)-...- 0.64×20.1 (outer)

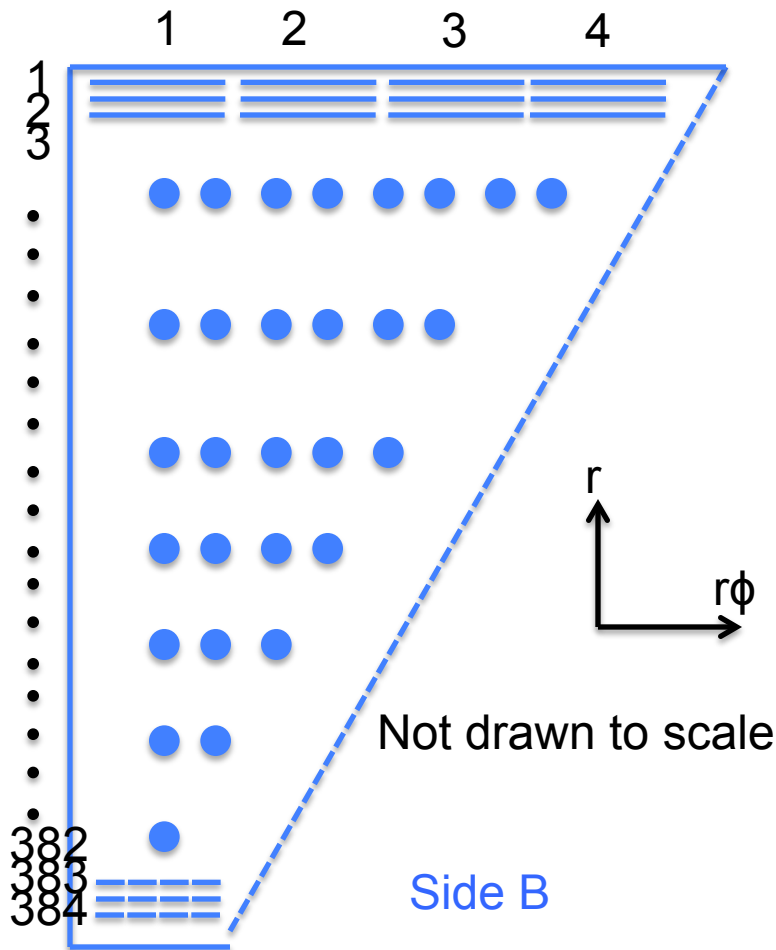
$Z=1400\text{mm}$

0.22×6.8 (inner)-...- 0.85×27.5 (outer)

- one disk is read out by 144 readout chips

R&D needed

Silicon Mini-Strip Sensor



Double-sided double metal silicon strip sensor, or two back-to-back single-sided double metal silicon strip sensors, with 12*128 strips on one side and 384*4 strips on the other side:

Z=700mm

3.4*0.11 (inner)-...-13.7*0.43 (outer)

Z=1050mm

5.1*0.165 (inner)-...-20.1*0.64 (outer)

Z=1400mm

6.8*0.22 (inner)-...-27.5*0.85 (outer)

If there is need for more precise r (η) resolution

R&D needed

Very Rough Cost Estimation (Design/Prototype+Production)

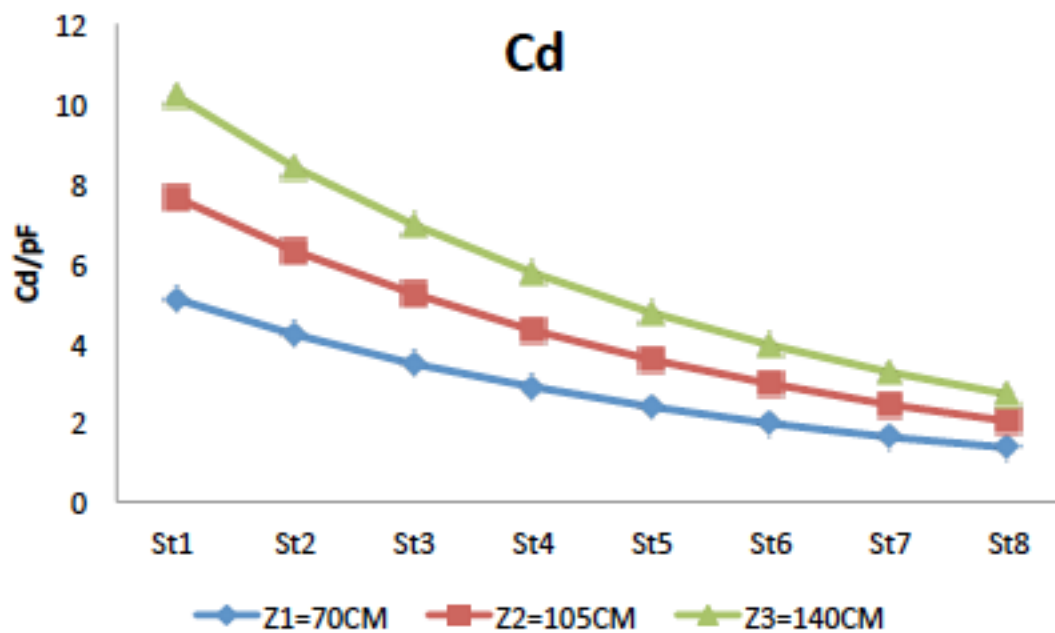
- Detector module
 - Silicon sensor: 120+400 k
 - Front-end readout chip: 5+25 k
 - Carbon-fiber core: 50+150 k
 - Flexible Kapton PCB: 80+150 k
 - Ladder assembly: 40+240 k
- Mechanical
 - Cooling: 20+100 k
 - Mechanical Support: 50+150 k
- Readout electronics
 - Readout crate: 20+100 k
 - Sensor bias power supply: 10+100 k
 - Readout boards: 50+120 k
 - Cables: 20+80 k

Prototyping 365-440k
Production 1745-2050k
40% contingency 840-1000k

Sum

~2970-3500k
for 3 disks

If there is need for more precise r (η) resolution



$$\text{Noise} = 270 + 38 * \text{Cd} \quad e^-$$

$$\text{Signal} = 24000 \quad e^-$$

Sensor Characteristics from Silvaco Simulation (Babak@UIC)

